NATIONAL STUDY OF PROTECTIVE, PREVENTIVE, AND REUNIFICATION SERVICES DELIVERED TO CHILDREN AND THEIR FAMILIES, 1994

NDACAN Dataset Number 71
User’s Guide

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PREFACE

The National Study of Protective, Preventive, and Reunification Services Delivered to Children and Their Families, 1994, has been given to the National Data Archive on Child Abuse and Neglect for public distribution by Westat, Inc. under authorization of Penny Maza of the Children’s Bureau. Funding for the project was provided by the Department of Health and Human Services, Administration for Children and Families, Administration for Children, Youth and Families, contract #105-90-1601.
ABSTRACT

The passage of the Adoption Assistance and Child Welfare Act of 1980 (P.L. 96-272) significantly reoriented child welfare services from a system which focused on foster care placement to one which was intended to provide the services necessary to maintain children in their own homes. In response to the provisions of the law, state and county child welfare agencies developed new social service programs and sought new avenues for coordinating with other components of the human service delivery system.

The purpose of the National Study of Protective, Preventive, and Reunification Services Delivered to Children and Their Families, 1994, is to provide a reliable national picture of the children and families in the “new” child welfare system. The study is designed not only to describe the current delivery system in terms of the number and characteristics of the children and families served by service type, but also to examine how agency, service, and client characteristics relate to case status.

The dataset consists of three files. The main case file includes 2,109 observations and 1,205 variables. It includes information about the number, types and services provided to children and their families, with an emphasis on differences in annual and point-in-time data, case duration, in-home and out-of-home services, race and kinship care. Two additional files are provided to separate out the repeating records. These files augment the main case file and contain 11,335 observations and 25 variables about household members and their characteristics and delineation of children receiving services.
ACKNOWLEDGMENT OF ASSISTANCE

All manuscripts which use data made available through the National Data Archive on Child Abuse and Neglect should acknowledge that fact as well as identify the original collector of the data. Users of these data are urged to follow some adaptation of the following statement:

The data utilized in this publication were made available by the National Data Archive on Child Abuse and Neglect, Cornell University, Ithaca NY; and have been used by permission. Data from the National Study of Protective, Preventive, and Reunification Services Delivered to Children and their Families, 1994, were originally collected by Westat, Inc. Funding for this study was provided by ACF Contract No. 105-90-1601. Neither the collector of the original data, the funder, the Archive, Cornell University, or its agents or employees bear any responsibility for the analyses or interpretations presented here.

INFORMATION ABOUT THE USE OF ARCHIVAL RESOURCES

In order to provide funding agencies with essential information about the use of NDACAN’s resources and to facilitate the exchange of information about research activities among data users and contributors, each user of these data is expected to send two copies of any completed manuscript, thesis abstract, or reprint to the National Data Archive on Child Abuse and Neglect, Cornell University, Family Life Development Center, MVR Hall, Ithaca, New York 14853-4401.
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I. STUDY OVERVIEW

The current chapter of this User’s Guide contains excerpts from the final report for the National Study of Protective, Preventive and Reunification Services Delivered to Children and Their Families. The final report was produced in 1996 by Westat, Inc. in association with James Bell Association. The report includes detailed information about the study background, design, and findings; It is available from the NCCAN National Clearinghouse on Child Abuse and Neglect (Phone: 800-FYI-3366, E-mail: nccanch@calib.com). Researchers may also be interested in obtaining a copy of the Sample Design and Analysis Appendix for the 1994 National Study. The document includes information about the sample design, the generation of the weights and design considerations for future studies. The Appendix also includes the estimates, standard errors and confidence intervals for data presented in the final report. The Sample Design and Analysis Appendix is available from the NDACAN web site (WWW:http://www.ndacan.cornell.edu). The document is also available in hard copy form from the Archive. The cost is $25.00.

Background

It has been almost 20 years since the 1977 National Study of Social Services to Children and their Families was conducted (Shyne and Schroeder, 1977). Since then, major changes have taken place in federal legislation, state policies and procedures, family demographics, and society in general. The passage of the Adoption Assistance and Child Welfare Act of 1980 (P.L. 96-272) began to change the focus of child welfare services from a system which emphasized foster care placement to one which was intended to make reasonable efforts to maintain children in their own homes or return children home as quickly as possible if foster care placement was necessary. In response to the provisions of the law and through their own initiatives, states and county child welfare agencies developed new social services programs and sought new avenues for coordinating with other components of the human services delivery system to increase client access to needed services. States began to focus greater attention on identifying, assessing, and serving the needs of families and making a transition from a child-centered approach to one that was family-centered.
In 1993 Congress again passed major child welfare reform legislation to focus service delivery on maintaining children in their own homes. The Family Preservation and Family Support provisions of P.L. 103-66 and the Omnibus Budget Reconciliation Act of 1993 (OBRA 1993), provided a substantial boost to the development and enhancement of family preservation and family support programs in the United States.

Concurrent with legislative and programmatic changes, however, changes also occurred in the characteristics and needs of the children and families served. In the period between the passage of P.L. 96-272 and OBRA 1993, the number of abuse and neglect allegations rose dramatically as did the prevalence of other associated problems. In 1984, 1,727,000 children were reported as abused and neglected (28 per 1,000 children). By 1993 this number had risen to 2,890,234 (43 per 1,000) (U.S. Department of Health and Human Services, 1995). In addition, other problems worsened during this time period such as homelessness, crack/cocaine addiction, and the number of single parent households. From 1980 to 1994, the proportion of families headed by a single parent increased from 22% to 31%. From 1980 to 1992, the number of births to unmarried teens rose from 27.6 per 1,000 females to 44.6 per 1,000 (U.S. Bureau of the Census, 1995).

Despite the evolving focus of child welfare policies and procedures away from foster care placement, both the increase in the number of abuse and neglect reports as well as the complexity and severity of the problems experienced by children and families, overwhelmed the capacity of child welfare agencies which were already experiencing problems in recruiting, training, and retaining qualified staff. Furthermore, there was no reliable national picture of the children and families in the “new” child welfare system, the types and duration of services provided them, or the appropriateness, timeliness, or outcomes of such services. The National Study of Protective, Preventive and Reunification Services Delivered to Children and Their Families (1994 National Study) was designed to address these issues.
**Purpose of Study**

The 1994 National Study had two major objectives. The first objective was to describe the 1994 national data on the number, types, and services provided to children and their families, with emphasis on differences in annual and point-in-time data, case duration, in-home and out-of-home services, race, and kinship care. The second objective was to assess changes in the number, characteristics and percentage of children and families receiving services since the 1977 National Study.

The study was used to answer questions such as:

- How do the data on annual service delivery compare to the point-in-time snapshot of service delivery?
- What child, family, and service characteristics are related to case duration?
- What characteristics are related to removing children from their homes versus providing in-home services to children?
- Are there differences in service provision to children by race?
- What is the role of kinship care?
Sampling and Selection Information

The study utilized a two-stage stratified random sample design covering all 50 states and the District of Columbia. The first stage consisted of the selection of a sample of counties or cluster of counties called Primary Sampling Units (PSUs). The second stage involved the selection of cases within agencies providing protective, preventive and reunification services for children within the sampled counties.

First Stage

The 3,139 counties and independent cities in the 50 states and the District of Columbia constituted the first-stage sampling universe. The Census Bureau’s latest County and City Data File (containing the variables which were needed for stratification and sample selection) served as the sampling frame. All counties and independent cities in the sampling frame were stratified by urbanicity into the following four categories:

1. MSA counties with at least one city with 200,000 or more in total population;
2. All remaining MSA counties plus other counties with a place (as defined by the Census Bureau) having 25,000 or more in total population;
3. Non-MSA counties with at least 5,000 persons under the age of 22; and
4. Non-MSA counties with at least 1,000 persons but under 5,000 persons under the age of 22.

Within each of the four urbanicity categories, counties were sorted by the ten Health and Human Services Administration regions. A total of 50 PSUs were selected, two certainty and 48 non-certainty PSUs. Additional PSUs were randomly selected as potential substitutes. In all, 44 PSUs participated in the study. The 44 PSUs included 49 counties.
Second Stage

Within each of the counties sampled, a selection was made of cases within public child welfare agencies providing protective, preventive, and reunification services to children and their families. Agencies were asked to provide two sample frames, one identifying all cases that were being serviced on March 1, 1994. The strategy to collect data on cases open at a point in time made it possible to obtain point in time data estimates allowing the comparison of the 1994 National Study to the 1977 National Study data. However, point in time data emphasize those children who move in and out of the system quickly. To correct for the limitations of point in time data, the 1994 National study was also designed to provide annual data on children and families served over the previous one year period from March 1, 1993 to February 28, 1994.

From the sampling frame (including all families who were receiving services on March 1, 1994 as well as all cases that had been open for some interval in the preceding twelve-month period), 2875 families were randomly selected. The sample included 2300 children who were receiving services on March 1, 1994 and 575 children whose cases had closed during the past 12 months. Allowing for a 15 percent attrition rate due to nonresponse, 2,500 cases were determined to be in scope for the study. However, following the cleaning of sample frames to identify duplication, misclassification, etc., only 2,109 telephone interview questionnaires were completed with caseworkers. 1,666 cases were receiving services on March 1, 1994 and 443 cases had closed during the past 12 months, resulting in an 84 percent response rate.
Data Collection

The collection of data involved a one-time telephone interview with agency caseworkers in the 49 county agencies. The interview (see Appendix A) took between 30 and 50 minutes to administer.

The interview format included two distinct components, a Population Characteristics Component and a Services Provided Component. The Population Characteristics Component was administered first and included questions relevant to case history; it elicited information required to estimate the number and types of services cases received over a one-year period. For this component of the questionnaire, workers were told to refer to the case record where necessary.

The Services Provided Component was administered second and included a series of screening questions aimed at determining which cases were still open as of March 1, 1994. For these cases, questions were asked to obtain information on current service delivery and case worker decisions over the past six months (March 1, 1994 - September 1, 1994). Interviews were conducted in the summer of 1994 so that questions pertaining to both time periods could be answered in a single interview.
II. DESCRIPTION OF MACHINE-READABLE FILES

The Archive distributes these data as SAS transport or SPSS portable files. Other file formats and subsets of the data can be prepared by special request. Please refer to the NDACAN order form or contact the Archive for more information.

The Archive distributes three data files for this study. A brief description of each file is provided below. For information regarding variables, please see Appendix B: Codebook Information and Appendix C: Description of Derived Variables.

**List of Files and Characteristics**

In each of the files below cases are uniquely identified by the variable TRCID.

**PUBLIC**
This main case data file contains 2109 observations and 1205 variables. This file contains one record per case. The unit of observation is the case. The file includes information about the number, types and services provided to children and their families, with emphasis on differences in annual and point-in-time data, case duration, in-home and out-of-home services, race, and kinship care.

**PUBLICR2**
This data file contains 2481 observations and 11 variables. This file may contain more than one record per case. The unit of observation is the child. The file pertains only to question B2 of the questionnaire which asks, “In that case, I need the names of all the children in the case and their birthdates, who were receiving services between (START DATE) and (END DATE) in order of age, starting with the oldest. This includes other children who may have a different caseworker. What is the name of the oldest (next oldest) child receiving services?”

**PUBLICR4**
This data file contains 8854 observations and 14 variables. The unit of observation is the household member. The file pertains only to question C1 of
the questionnaire which asks about the members of the household. The file includes information about each household member’s relationship to the primary child as well as birthdates.

**Notes Regarding the Data Files**

For all questions and all files, “8” and “98” = “unknown” (unless value labels state otherwise).
For all questions and all files, “9” and “99” = “not ascertained” (unless value labels state otherwise).

**PUBLIC**

1. The variables L10AYR and L10YR are duplicates.
2. The variable C1MO in the Derived Variables Addendum (Appendix C) is variable C1DMO in the data file.
3. The observations in this file may be linked to PUBLICR2 and PUBLCR4 on the basis of the variable TRCID.

**PUBLICR2**

1. The observations in this file may be linked to PUBLIC and PUBLICR4 on the basis of the variable TRCID
2. The variable B2BDAY has one out of range value: “52”.

**PUBLCR4**

1. The observations in this file may be linked to PUBLIC and PUBLICR2 on the basis of the variable TRCID.

*Please contact the Archive directly if you have questions or encounter problems in using this dataset. Do not contact the principal investigator. The Archive has made an agreement with the investigator to field all questions related to the study.*
III. CONDUCTING ANALYSES WITH THE 1994 NATIONAL STUDY DATA

Important Weighting Information

The 1994 National Study data must be weighted in order to insure appropriate statistical inferences. The data in their raw form (i.e. the unweighted data) do not accurately represent population of interest.

Appropriate analyses of the 1994 National Study require the use of both full sample weights and replicate weights. Sample weights are required because the sampled elements were selected by unequal probability sampling methods (i.e. nonrandom selection). That is, sample weights correct for selection bias arising from overrepresentation of some cases that are more likely to be sampled and underrepresentation of some other cases that are less likely to be sampled. Sample weights are also needed in making adjustments for nonresponses.

Replicate weights are required because the data were collected in a multi-stage cluster design. One consequence of multi-stage cluster sampling is that observations can not be assumed to be independent as is commonly done for a simple survey. Observations that are from the same cluster will likely be more similar to each other than to observations from a different cluster. Replicate weights are used to take these factors into consideration and to allow researchers to generate unbiased estimates of variance and standard error. The reader may consult Cochran (1977) or Kish (1965) for a general discussion of sampling designs. Lee, Forthofer, and Lorimor (1989) provide a recent discussion of the use of weights with complex survey data.

As mentioned above, the appropriate weighting scheme for the 1994 National Study data arrives at weighted estimates of service delivery via a full sample weight variable. Additionally, the complex design structure is addressed via the corresponding 24 replicate weight variables associated with each case. The replicate weights are necessary for producing unbiased estimates of variance and standard error.
The 1994 National Study Allows For Two Weighting Alternatives

All analyses of the 1994 National Study should make use of both full sample weights and replicate weights. Researchers have the option to choose between a set of full sample and replicate weights that were developed for conducting child level analyses, and a set of full sample and replicate weights that were developed for conducting family level analyses. The main data file, PUBLIC includes both sets of weighting variables.

The set of weights used for child level analyses includes the full sample weight, TPRWT, and the replicate weights, TPRWT1-TPRWT24. The child level estimates represent all children who were the main focus of service delivery.¹ The second complete set of weights is used for family level analyses. These include TRIMWT as the full sample weight and TRIMWT1-TRIMWT24 as the replicate weights. The family level estimates represent case level data, including children and biological parents.

Special Software Is Required To Analyze The 1994 National Study Data

Many advanced statistical packages (e.g. SPSS and SAS) have a WEIGHT statement that enables the computation of unbiased population estimates. However, many of these packages cannot calculate accurate variance and standard errors of population estimates from studies based on complex survey designs such as the 1994 National Study. If one of the standard statistical packages (e.g. SPSS and SAS) is used for complex survey data like the 1994 National Study, then the resulting variances and standard deviations are incorrect, since these packages assume simple random sampling to calculate variance and standard errors. Several specialized programs for complex survey data are available that compute descriptive statistics and their associated sampling errors (Lee et al. 1989). Examples of these programs include SUDAAN, PC CARP, and WesVarPC. Although any of these programs, as well as other

¹ During the interview, caseworkers were asked to identify the children who were the main recipients of service delivery during the study period. If there was more than one child identified, one child was sampled for interviewing purposes. However, the child estimates represent all children who were the focus of service delivery. These children are referred to as the primary child or primary children.
suitable programs not mentioned, can be used for analysis of the 1994 National Study, NDACAN strongly encourages the use of the WesVarPC software.

WesVarPC is a user-friendly software package that runs in a windows environment. WesVarPC can be obtained free of charge via the Internet or on diskette. Internet users can find WesVarPC on Westat's home page on the World Wide Web (www.westat.com). Alternatively, users can contact Westat directly to receive WesVarPC on diskette (WesVarPC, c/o Maida Montes, Westat Inc. 1650 Research Boulevard, Rockville, MD 20850). The software comes with complete installation instructions and a user’s manual.

The following chapters of this manual demonstrate how to conduct some analyses of the 1994 National Study data using WesVarPC.
IV. WESVARPC EXAMPLES: CHILD LEVEL POINT-IN-TIME ANALYSES

Importing the 1994 National Study File

Once WesVarPC has been installed, select the WesVarPC icon created during the installation process to run the program. To import the 1994 National Study File, select "Import Data File" from the Prep menu and identify the SAS transport file or SPSS system file. Once the file has been imported, all of the variables contained in the file should appear in the SOURCE VARIABLES box. These variables need to be moved into the other boxes on the screen as follows:

- Move the TRCID variable to the ID box.
- Move the TRPWT variable to the FULL SAMPLE box.
- Move the replicate weight variables (TRPWT1 - 24) to the REPLICATES box.
- Move the remaining variables (or variables of interest) to the VARIABLES box.
- Select JK2 in the METHOD box.

Once all of the variables have been moved and the model selected, click on the CREATE button to create a WesVarPC version of the File. WesVarPC will ask users to name the new data file and give the file a .var extension. Please note that the above procedure imports the dataset which is suitable for child level analyses only. If interest lies in conducting family level analyses, then the above procedure should be completed using the full sample weight TRIMWT, and the replicate weights TRIMWT1-TRIMWT24.

Conducting Child Level Point-In-Time Analyses

The complete sample for the 1994 National Study includes children and families who had open cases in the child welfare system on March 1, 1994, and all those children whose cases were open during the previous year and closed as of March 1, 1994. However, if comparisons are to be made between the 1994 National Study and the findings of the 1977 National Study (Schroeder and Shyne, 1980),
only the point-in-time (March 1994) data for the 1994 National Study can be used.

**EXAMPLE 4.1: Obtaining Estimates, Standard Errors And Confidence Intervals Of Service Delivery At The Child Level**

The following instructions demonstrate how to produce an estimate, standard error and confidence interval of the total number of children who were receiving services on March 1, 1994. This analysis will use the data file created with child level weights (TPRWT and TPRWT1-TPRWT24).

**STEP 1.** The first step is to specify the data that we would like to estimate. We would like to get an estimate of all children who were receiving services on March 1, 1994. We will therefore want an estimate of all children with a value of 1 for variable ANDISP. Select TABLES from the main menu and then choose NEW. Choose the appropriate data file and click on the OK button. Click on the SUBSET button and type ANDISP=1 in the center box. (Alternatively, the buttons in the lower portion of the screen may be double-clicked.) Once the subset is completed, click on the CLOSE button to return to the TABLE REQUEST window. From this window click on the OUTPUT button to specify which output variables WesVarPC should produce. An example of useful output specifications could include the estimate, standard error, lower confidence interval and upper confidence interval. Click on the boxes next to these options in the VARIABLE NAMES box. Click on the CLOSE button to return to the TABLE REQUEST window.

**STEP 2.** The OPTIONS for the request must be specified at the lower left-hand portion of the table request window. To obtain a 95% confidence interval, ALPHA should be set at 0.05. The degrees of freedom must be specified. For the 1994 National Study data, the degrees of freedom value equals the number of replicates minus the number of parameters estimated. In the current example, DF=23 (because there are 24 replicates and one estimated parameter) If the degrees of freedom are omitted, WesVarPC sets the degrees of freedom to the default setting (infinite).

**STEP 3.** Save the table request by clicking on the SAVE button.
STEP 4. Run the table request by clicking on the RUN button. Once WesVarPC has finished calculating the estimate, click on the VIEW button to examine the output. The output for this request is shown in Table 4-1.

Table 4.1 An Estimate for the Total Number of Children Receiving services

<table>
<thead>
<tr>
<th>STATISTIC</th>
<th>EST_TYPE</th>
<th>ESTIMATE</th>
<th>STDERR</th>
<th>LOWER</th>
<th>UPPER</th>
</tr>
</thead>
<tbody>
<tr>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>999114.85</td>
<td>80259.382</td>
<td>833085.05</td>
<td>1165144.80</td>
</tr>
</tbody>
</table>
EXAMPLE 4.2: Obtaining Estimates Of Service Delivery At The Child Level For Eight Age Subgroups

The following instructions demonstrate how to produce estimates for eight age subgroups of children who were receiving services on March 1, 1994. This analysis will use the data file created with the child level weights (TPRWT and TPRWT1-TPRWT24.)

STEP 1. First the data must be recoded using the FORMAT menu. The variable AGEPCHDLD must be recoded into the desired age subgroups. The new variable will be REC_AGE and will have the following values:

- REC_AGE = 1 if AGEPCHLD < 1.
- REC_AGE = 2 if AGEPCHLD = 1 or 2.
- REC_AGE = 3 if AGEPCHLD = 3 or 4.
- REC_AGE = 4 if AGEPCHLD = 5 or 6.
- REC_AGE = 5 if AGEPCHLD = 7 or 8 or 9 or 10.
- REC_AGE = 6 if AGEPCHLD = 11 or 12 or 13.
- REC_AGE = 7 if AGEPCHLD = 14 or 15 or 16.
- REC_AGE = 8 if AGEPCHLD = 17 or greater.

The instructions for this recoding are as follows:
Select FORMAT from the main menu and then choose RECODES. Choose the appropriate WesVarPC data file and click on the OK button. At the CREATE RECODES window, click on the DISCRETE button and at the next RECODE window click on the SELECT button. Click on the variable AGEPCHLD then hit the >> key to move the variable into the SELECTED box. Click the CLOSE button. The next window allows you to recode the AGEPCHLD variable. First click in the NEW VARIABLE NAME box and type in the variable name REC_AGE. Next, click on the value “0” to highlight it. Click in the NEW VALUE box and type 1. Hit the V to assign REC_AGE the new value of 1 when AGEPCHLD = 0. Repeat this process to complete the recoding of AGEPCHLD. Click CLOSE to return to the CREATE RECODES window and view the PENDING RECODE LIST. Click CREATE to complete the recode. WesVarPC then asks if you want to save over the current file or rename the file.
STEP 2. Next it is necessary to subset the data according to cases which were open on March 1. Select TABLES from the main menu and then choose NEW. Choose the appropriate data file and click on the OK button. Click on the SUBSET button and type ANDISP=1 in the center box. Once the subset is completed, click on the CLOSE button to return to the TABLE REQUEST window. From the TABLE REQUEST window, specify the data items that will be produced in the OUTPUT window, and specify the OPTIONS in the main TABLE REQUEST window (Alpha=0.05, Df=23).

STEP 3. Click on the TABLES button, and then NEW. Double click on the REC_AGE variable to move it from the SOURCE VARIABLE box to the TABLE box. Click on the “>>” button to move the REC_AGE variable to the “selected” box. Click on the CLOSE button to return to the TABLES window and click on the CLOSE button again to return to the TABLE REQUEST window.

STEP 4. SAVE the new table request and RUN the request. Some of the values obtained from the request for the total number of children receiving services on March 1, by subgroup, are shown in Table 4.2.
Table 4.2  Estimates for the Total Number of Children Receiving services, by Subgroup.

<table>
<thead>
<tr>
<th>FULL SAMPLE WEIGHT:</th>
<th>TPRVT</th>
</tr>
</thead>
<tbody>
<tr>
<td>REPLICATE WEIGHTS:</td>
<td>TPRVT1...TPRVT24</td>
</tr>
<tr>
<td>ANALYSIS VARIABLES:</td>
<td>None Specified.</td>
</tr>
<tr>
<td>COMPUTE STATISTIC:</td>
<td>None Specified.</td>
</tr>
<tr>
<td>TABLE REQUESTS:</td>
<td>REC_AGE</td>
</tr>
<tr>
<td>FACTOR(S):</td>
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</tr>
<tr>
<td>NUMBER OF REPLICATES:</td>
<td>24</td>
</tr>
<tr>
<td>NUMBER OF OBSERVATIONS READ:</td>
<td>1666</td>
</tr>
<tr>
<td>WEIGHTED NUMBER OF OBSERVATIONS READ:</td>
<td>999114.855</td>
</tr>
</tbody>
</table>

**TABLE REQUEST : REC_AGE**

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<th>ESTIMATE</th>
<th>STDERR</th>
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</thead>
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<td>1</td>
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<td>8796.244</td>
</tr>
<tr>
<td>2</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>97540.61</td>
<td>16944.590</td>
</tr>
<tr>
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<td>SUM_WTS</td>
<td>VALUE</td>
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<td>22547.365</td>
</tr>
<tr>
<td>4</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>127385.25</td>
<td>16571.931</td>
</tr>
<tr>
<td>5</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>226082.04</td>
<td>20797.308</td>
</tr>
<tr>
<td>6</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>145412.59</td>
<td>11470.741</td>
</tr>
<tr>
<td>7</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>153725.35</td>
<td>19382.569</td>
</tr>
</tbody>
</table>
Example 4.3: Obtaining The Mean Age of Children Receiving Services

The following steps illustrate how to obtain the average age of children receiving services on March 1, 1994.

**STEP 1.** Open a new TABLES window and begin a table request. The data will need to be subset into those cases that were open on March 1, 1994. Additionally, the data must be limited to exclude those cases that had a missing value for age (AGEPCHLD = 98 or 99). These steps are accomplished by typing “ANDISP = 1 AND AGEPCHLD >= 0 AND AGEPCHLD <=21” in the SUBSET window. From the TABLE REQUEST window, specify the statistics that will be produced in the OUTPUT window, and specify the OPTIONS in the main TABLE REQUEST window (Alpha=0.01, Df=23).

**STEP 2.** Click on the ANALYSIS button and Click ANDISP into the SELECTED box. Close the Window to return to the main TABLE REQUEST window. Click on the COMPUTE button to calculate the rate of mean age of maltreatment. Name the mean age of maltreatment by typing "MEANAGE" in the left-hand portion of the COMPUTE STATISTIC box. Enter MEAN(AGEPCHLD) on the other side of the COMPUTE STATISTIC box. Move the entire equation to the SELECTED box by clicking on the >> button in the middle of the screen. Click on the CLOSE button to return to the TABLE REQUEST window.

**STEP 3.** SAVE the new table request and RUN the request. A portion of the output is shown in Table 4.3. The mean age of children receiving services on March 1, 1994 was 8.48 years.
Table 4.3 Estimates of the Average Age of Children Receiving Services

<table>
<thead>
<tr>
<th>STATISTIC</th>
<th>EST TYPE</th>
<th>ESTIMATE</th>
<th>STDERR</th>
<th>LOWER</th>
<th>UPPER</th>
</tr>
</thead>
<tbody>
<tr>
<td>SUM YTS</td>
<td>VALUE</td>
<td>992839.94</td>
<td>79302.692</td>
<td>770210.51</td>
<td>1215469.37</td>
</tr>
<tr>
<td>ANDISP</td>
<td>VALUE</td>
<td>992839.94</td>
<td>79302.692</td>
<td>770210.51</td>
<td>1215469.37</td>
</tr>
<tr>
<td>MEANAGE</td>
<td>VALUE</td>
<td>8.48</td>
<td>0.280</td>
<td>7.69</td>
<td>9.26</td>
</tr>
</tbody>
</table>
V. WESVAR PC EXAMPLES: FAMILY LEVEL POINT-IN-TIME ANALYSES

Example 5.1: Obtaining Family Level Estimates of Service Delivery

The following instructions demonstrate how to produce an estimate of the total number of families who were receiving food stamps and were receiving services on March 1, 1994. This analysis will use the data file created with the family level weights (TRIMWT and TRIMWT1-TRIMWT24).

STEP 1. The first step is to create a WesVarPC dataset that designates TRIMWT as the full sample weight and TRIMWT1-TRIMWT24 as the replicate weights. This can be done by completing the steps described in the section entitled Importing the 1994 National Study File.

STEP 2. The next step is to specify the data that we would like to estimate. We would like to get an estimate of all children who were receiving services on March 1, 1994. We will therefore want an estimate of all children with a value of 1 for variable ANDISP. Select TABLES from the main menu and then choose NEW. Choose the appropriate data file and click on the OK button. Click on the SUBSET button and type ANDISP=1 in the center box. (Alternatively, the buttons in the lower portion of the screen may be double-clicked.) Once the subset is completed, click on the CLOSE button to return to the TABLE REQUEST window. From this window click on the OUTPUT button to specify which output variables WesVarPC should produce. Useful output specifications could include the estimate, standard error, lower confidence interval and upper confidence interval. Click on the boxes next to these options in the VARIABLE NAMES box. Click on the CLOSE button to return to the TABLE REQUEST window. Next click on the TABLES button. Click NEW and then double click on the variable D9A to move it to the TABLE box. Next click on the >> button to move the variable to the SELECTED box. Click CLOSE and then CLOSE again to return to the TABLE REQUEST window.
STEP 3. The OPTIONS for the request must be specified in the lower left-hand portion of the table request window. To obtain a 95% confidence interval, ALPHA should be set at 0.05. The degrees of freedom should be specified as 23. Save the table request by clicking on the SAVE button.

STEP 4. Run the table request by clicking on the RUN button. Once WesVarPC has finished calculating the estimate, click on the VIEW button to examine the output. The values obtained for this request for the number of families receiving food stamps and receiving services on March 1 are shown in Table 5.1.

Table 5.1 An Estimate of Families who were Receiving Food Stamps.

<table>
<thead>
<tr>
<th>REPLICATE WEIGHTS:</th>
<th>TRIMWT1...TRIMWT24</th>
</tr>
</thead>
<tbody>
<tr>
<td>ANALYSIS VARIABLES:</td>
<td>None Specified.</td>
</tr>
<tr>
<td>COMPUTE STATISTIC:</td>
<td>None Specified.</td>
</tr>
<tr>
<td>TABLE REQUESTS:</td>
<td>D9A</td>
</tr>
<tr>
<td>FACTOR(S):</td>
<td>1.00</td>
</tr>
<tr>
<td>NUMBER OF REPLICATES:</td>
<td>24</td>
</tr>
<tr>
<td>NUMBER OF OBSERVATIONS READ:</td>
<td>1666</td>
</tr>
<tr>
<td>WEIGHTED NUMBER OF OBSERVATIONS READ:</td>
<td>577459.215</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>TABLE REQUEST : D9A</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>D9A</td>
<td>STATISTIC</td>
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<td>ESTIMATE</td>
<td>STDERROR</td>
</tr>
<tr>
<td>1</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>221293.11</td>
<td>22733.172</td>
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<td>2</td>
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<td>VALUE</td>
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<td>19034.929</td>
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<tr>
<td>8</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>96902.79</td>
<td>8506.690</td>
</tr>
<tr>
<td>9</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>3158.89</td>
<td>1313.318</td>
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<td>MARGINAL</td>
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<td>VALUE</td>
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<td>39959.797</td>
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<td>2.289</td>
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<td>PERCENT</td>
<td>35.70</td>
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<td>SUM_WTS</td>
<td>PERCENT</td>
<td>19.39</td>
<td>1.464</td>
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<tr>
<td>9</td>
<td>SUM_WTS</td>
<td>PERCENT</td>
<td>0.63</td>
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<td>MARGINAL</td>
<td>SUM_WTS</td>
<td>PERCENT</td>
<td>100.00</td>
<td>0.000</td>
</tr>
</tbody>
</table>
Example 5.2: Obtaining Family Level Estimates of Housing Problems

The following instructions demonstrate how to produce an estimate of the total number of families who were facing eviction and were receiving services on March 1, 1994. This analysis will make use of the data file created with the family level set of weights: TRIMWT and TRIMWT1-TRIMWT24.

STEP 1. The first step is to specify the data that we would like to estimate. We would like to get an estimate of all children who were receiving services on March 1, 1994. We will therefore want an estimate of all children with a value of 1 for variable ANDISP. Select TABLES from the main menu and then choose NEW. Choose the appropriate data file and click on the OK button. Click on the SUBSET button and type ANDISP=1 in the center box. (Alternatively, the buttons in the lower portion of the screen may be double-clicked.) Once the subset is completed, click on the CLOSE button to return to the TABLE REQUEST window. From this window click on the OUTPUT button to specify which output variables WesVarPC should produce. Useful output specifications could include the estimate, standard error, lower confidence interval and upper confidence interval. Click on the boxes next to these options in the VARIABLE NAMES box. Click on the CLOSE button to return to the TABLE REQUEST window. Next click on the TABLES button. Click NEW and then double click on the variable D14C to move it to the TABLE box and then >> to the SELECTED box. Click CLOSE and then CLOSE again to return to the TABLE REQUEST window.

STEP 2. The OPTIONS for the request must be specified. To obtain a 95% confidence interval, ALPHA would be set at 0.05 to specify the Type I error or the level of significance. The degrees of freedom must be specified as 23. Save the table request by clicking on the SAVE button.

STEP 3. Run the table request by clicking on the RUN button. Once WesVarPC has finished calculating the estimate, click on the VIEW button to examine the output. The values obtained for this request for the number of families facing eviction and receiving services on March 1 are shown in Table 5.3.
Table 5.3  An Estimate of the Number of Families Facing Eviction.

<table>
<thead>
<tr>
<th>REPLICATE WEIGHTS:</th>
<th>TRIMWT1...TRIMWT24</th>
</tr>
</thead>
<tbody>
<tr>
<td>ANALYSIS VARIABLES:</td>
<td>None Specified.</td>
</tr>
<tr>
<td>COMPUTE STATISTIC:</td>
<td>None Specified.</td>
</tr>
<tr>
<td>TABLE REQUESTS:</td>
<td>D14C</td>
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<tr>
<td>FACTOR(S):</td>
<td>1.00</td>
</tr>
<tr>
<td>NUMBER OF REPLICATES:</td>
<td>24</td>
</tr>
<tr>
<td>NUMBER OF OBSERVATIONS READ:</td>
<td>1666</td>
</tr>
<tr>
<td>WEIGHTED NUMBER OF OBSERVATIONS READ:</td>
<td>577459.215</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>TABLE REQUEST : D14C</th>
</tr>
</thead>
<tbody>
<tr>
<td>D14C</td>
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<tr>
<td>0</td>
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<tr>
<td>3</td>
</tr>
<tr>
<td>98</td>
</tr>
<tr>
<td>99</td>
</tr>
</tbody>
</table>
VI. WESVAR PC EXAMPLES: CHILD LEVEL YEARLY ESTIMATES OF SERVICE DELIVERY

To be able to draw comparisons to the 1977 National Study, it is necessary to use point-in-time data. However, these data are limited because children who have been served for longer periods are more likely to be included in the data while children who may move in and out of the system quickly are underrepresented in the data. To get an accurate picture of the child welfare population as a whole, it is essential to examine the characteristics of all children and families -- including those who enter and exit the system quickly. The 1994 National Study also provides annual data -- data on children and families served over a one year period.

Example 6.1 Obtaining Child Level Estimates of New Cases Served During The Year

The number of children who entered service delivery during the year from March 1, 1993 to February 28, 1994 are termed the annual admission cohort. The following example demonstrates how to get estimates of the admissions cohort in subgroups by length of time cases received services. This analysis will use the data file created with the child level weights (TPRWT and TPRWT1-TPRWT24).

STEP 1. The first step is to specify the data that we would like to estimate. We would like to get an estimate of all children who entered service during the year. We will therefore want an estimate of all children with a value of 1 for variable COHORT. Select "Tables" from the main menu and then choose NEW. Choose the appropriate data file and click on the OK button. Click on the SUBSET button and type COHORT=1 in the center box. (Alternatively, the buttons in the lower portion of the screen may be double-clicked.) Once the subset is completed, click on the COMPUTE button. In the left box type “Tentered” and in the right side box enter the variable COHORT. Click on the >> key to move the expression to the selected box. Click on the CLOSE button to return to the TABLE REQUEST window. Next click on the TABLES button. Click NEW and then double click on the variable COLENOP to move it to the “Table” box and then to the “Selected” box. Click CLOSE and then CLOSE again to return to the TABLE REQUEST window. Click on the OUTPUT button to specify which
output variables WesVarPC should produce. An example of useful output specifications could include the estimate, standard error, lower confidence interval and upper confidence interval. Click on the boxes next to these options in the VARIABLE NAMES box. Click on the CLOSE button to return to the TABLE REQUEST window.

**STEP 2.** The OPTIONS for the request must be specified. To obtain a 95% confidence interval, ALPHA would be set at 0.05. The degrees of freedom should be set to 23.

Save the table request by clicking on the SAVE button.

**STEP 3.** Run the table request by clicking on the RUN button. Once WesVarPC has finished calculating the estimate, click on the VIEW button to examine the output. The values obtained for this request for the total number of children who entered service delivery during the year from March 1, 1993 to February 28, 1994 are shown in Table 6.1.
Table 6.1  An Estimate of the Total Number of Children Who Entered Service Delivery During the Year.

<table>
<thead>
<tr>
<th>COLENOP</th>
<th>STATISTIC</th>
<th>EST_TYPE</th>
<th>ESTIMATE</th>
<th>STDERRROR</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>482524.82</td>
<td>70419.223</td>
</tr>
<tr>
<td>2</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>115566.54</td>
<td>23218.790</td>
</tr>
<tr>
<td>3</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>67855.57</td>
<td>10238.011</td>
</tr>
<tr>
<td>4</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>237982.35</td>
<td>28858.085</td>
</tr>
<tr>
<td>MARGINAL</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>903929.28</td>
<td>79917.781</td>
</tr>
<tr>
<td>1</td>
<td>SUM_WTS</td>
<td>PERCENT</td>
<td>53.38</td>
<td>4.851</td>
</tr>
<tr>
<td>2</td>
<td>SUM_WTS</td>
<td>PERCENT</td>
<td>12.78</td>
<td>2.259</td>
</tr>
<tr>
<td>3</td>
<td>SUM_WTS</td>
<td>PERCENT</td>
<td>7.51</td>
<td>0.911</td>
</tr>
<tr>
<td>4</td>
<td>SUM_WTS</td>
<td>PERCENT</td>
<td>26.33</td>
<td>3.469</td>
</tr>
<tr>
<td>MARGINAL</td>
<td>SUM_WTS</td>
<td>PERCENT</td>
<td>100.00</td>
<td>0.000</td>
</tr>
<tr>
<td>1</td>
<td>Tentered</td>
<td>VALUE</td>
<td>482524.82</td>
<td>70419.223</td>
</tr>
<tr>
<td>2</td>
<td>Tentered</td>
<td>VALUE</td>
<td>115566.54</td>
<td>23218.790</td>
</tr>
<tr>
<td>3</td>
<td>Tentered</td>
<td>VALUE</td>
<td>67855.57</td>
<td>10238.011</td>
</tr>
<tr>
<td>4</td>
<td>Tentered</td>
<td>VALUE</td>
<td>237982.35</td>
<td>28858.085</td>
</tr>
<tr>
<td>MARGINAL</td>
<td>Tentered</td>
<td>VALUE</td>
<td>903929.28</td>
<td>79917.781</td>
</tr>
</tbody>
</table>
Example 6.2. Obtaining Estimates of the Length of Time Children Were Receiving services

The variable DURGROUP divides the cases into three categories according to the length of time they were served. The following instructions demonstrate how to get estimates of the number of children in each DURGROUP category. For this analysis, the entire annual sample was used, those cases open on March 1, 1994 and those cases which closed during the prior year. This analysis will use the data file created with the child level weights (TPRWT and TPRWT1-TPRWT24).

STEP 1. The first step is to specify the data that we would like to estimate. We would like to get an estimate of all children who were receiving services on March 1, 1994 and those cases which closed during the prior year. We will therefore want an estimate of all children with a value of 1 or 2 for variable ANDISP. Select TABLES from the main menu and then choose NEW. Choose the appropriate data file and click on the OK button. Click on the SUBSET button and type ANDISP=1 or ANDISP=2 in the center box. (Alternatively, the buttons in the lower portion of the screen may be double-clicked.) Once the subset is completed, click on the CLOSE button to return to the TABLE REQUEST window. Next click on the TABLES button. Click NEW and then double click on the variable DURGROUP to move it to the “Table” box and then to the “selected” box. Click CLOSE and then CLOSE again to return to the TABLE REQUEST window. From this window click on the OUTPUT button to specify which output variables WesVarPC should produce. Useful output specifications could include the estimate, standard error, lower confidence interval and upper confidence interval. Click on the boxes next to these options in the VARIABLE NAMES box. Click on the CLOSE button to return to the TABLE REQUEST window.
**STEP 2.** The OPTIONS for the request must be specified. To obtain a 95% confidence interval, ALPHA would be set at 0.05. The degrees of freedom should be set to 23. Save the table request by clicking on the SAVE button.

**STEP 3.** Run the table request by clicking on the RUN button. Once WesVarPC has finished calculating the estimate, click on the VIEW button to examine the output. The values obtained for this request for the total number of children receiving services in each duration category are shown in Table 6.2.
Table 6.2  An Estimate for the Total Number of Children Receiving services in Each Duration Category.

<table>
<thead>
<tr>
<th>DURGROUP</th>
<th>STATISTIC</th>
<th>EST_TYPE</th>
<th>ESTIMATE</th>
<th>STDERROR</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>565153.79</td>
<td>68813.68</td>
</tr>
<tr>
<td>2</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>642417.13</td>
<td>46687.44</td>
</tr>
<tr>
<td>3</td>
<td>SUM_WTS</td>
<td>VALUE</td>
<td>366617.99</td>
<td>38167.08</td>
</tr>
</tbody>
</table>
VII. BIBLIOGRAPHY

The references for this document are divided into two sections: The first section is a listing of documents produced from the 1994 National Study. The second section is a listing of documents related to the 1994 National Study. Please note that these two sections are not meant to be an exhaustive or representative list of documents produced from and related to the dataset. They are meant to provide the researcher with a starting point to begin a background literature search.

Documents Produced from the Dataset


References Related to the Dataset


Notes:

Many of the questions in the telephone interview refer to “cards”. For example question D-4 reads “Please look at Card D-4...”. The cards refer to the responses that respondents had to choose from. Caseworkers who were included in the study were sent letters and the cards in advance of the interview so that when they were called in interviewed about a case, they would have the information needed.
NATIONAL STUDY OF SERVICES

DELIVERED TO CHILDREN AND FAMILIES

TELEPHONE INTERVIEW

PREPARED FOR:

Administration for Children, Youth and Families
SECTION A. TIME PERIODS

I will be asking you questions about this case for a particular time period, so first I need to establish the dates for that time period.

A-1. When did this case open most recently? By that I mean, when was the report made to CPS?

| __ | __ | __ | 19 | __ |
| MO | DAY | YEAR |

A-2. Was this case open on March 1st, 1994?

YES 1 (GO TO BOX 1)

NO 2 (ASK a)

a. When did this case close?

| __ | __ | __ | 19 | __ | (SKIP TO BOX 2)
| MO | DAY | YEAR |

BOX 1

INSTRUCTIONS FOR ESTABLISHING REFERENCE PERIOD OF CASES OPEN ON MARCH 1, 1994

START DATE OF REFERENCE PERIOD:
IF DATE IN Q.A-1 IS ON OR PRIOR TO MARCH 1, 1993, START DATE IS 03/01/93.

IF DATE IN Q.A-1 IS AFTER MARCH 1, 1993, START DATE IS ACTUAL DATE CASE OPENED.

START DATE FOR THIS CASE IS:  _____ _____ 19____
                               MO   DAY   YEAR

END DATE OF REFERENCE PERIOD FOR OPEN CASES IS ALWAYS 2/28/94

REFERENCE PERIOD FOR THIS CASE IS:

|____|____| 19|____| TO 2/28/1994
MO   DAY   YEAR

ENTER START DATE ON WORKSHEET AND READ A-3

A-3. I will be asking you mainly about the period of time that starts on (START DATE) and ends February 28, 1994. For your own reference, please write these dates on a piece of paper. (SKIP TO SECTION B).

TIME PERIODS (continued)

BOX 2

INSTRUCTIONS FOR ESTABLISHING REFERENCE PERIOD OF CLOSED CASES

ENTER DATE CASE CLOSED FROM Q.A-2a

_____ _____ 19____
       MO   DAY   YEAR

FOR CLOSED CASES THIS DATE IS THE END DATE OF THE REFERENCE PERIOD.

THE START DATE IS 12 MONTHS PRIOR TO THE END DATE, UNLESS THE CASE WAS OPEN LESS THAN 12 MONTHS. IF SO USE THE OPENING DATE IN A.1 AS THE START DATE.

ENTER START DATE FOR THIS CASE:  _____ _____ 19____
                               MO   DAY   YEAR
USING THE START AND END DATES ABOVE, ENTER THE REFERENCE PERIOD FOR THIS CASE:

<table>
<thead>
<tr>
<th>START DATE</th>
<th>END DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>___</td>
<td>___</td>
</tr>
<tr>
<td>MO</td>
<td>DAY</td>
</tr>
</tbody>
</table>

ENTER DATES ON WORKSHEET AND READ A-4

A-4. I will be asking you mainly about the period of time that starts on (START DATE) and ends on (END DATE). For your own reference, please write these dates on a piece of paper.

SECTION B. THE PRIMARY CHILD

Many of the questions will be about one particular child to whom I will refer as the primary child.

B-1. Is there one child who was the main recipient of services, at any time between (START DATE) and (END DATE)? That is, if it were not for this child receiving services, the case would probably have been closed?

Yes 1 (a)
No, more than one child 2 ó
ó (B-2)
Other, (SPECIFY) 3 ó

a. What is the child's first name?

(B-4 AND ENTER ON WORKSHEET)
PRIMARY CHILD'S FIRST NAME

B-2. In that case, I need to know the names of all the children in the case and their birthdates, who were receiving services between (START DATE) and (END DATE) in order of age, starting with the oldest. This includes other children who may have a different caseworker.
What is the name of the oldest (next oldest) child receiving services? ENTER NAMES AND BIRTHDATES ON PAGE 4. (Please bear with me for a moment while I follow my instructions to select the primary child).

 COLUMN A: ENTER CHILDREN'S FIRST NAMES AND DATES OF BIRTH. WHERE DATE OF BIRTH NOT AVAILABLE, ASK AGE.

 COLUMN 1 OF SAMPLING TABLE: CIRCLE THE NUMBER OF CHILDREN LISTED.

 COLUMN 2 OF SAMPLING TABLE: SELECT THE PRIMARY CHILD BY CIRCLING THE CHILD NUMBER THAT IS ON THE SAME LINE AS THE NUMBER CIRCLED IN COLUMN 1.

 COLUMN D: CHECK THE BOX TO INDICATE SELECTED PRIMARY CHILD.

<p>| | | | | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
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<th></th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>B.</td>
<td>C.</td>
<td>D.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NAME</td>
<td>DATE OF BIRTH</td>
<td>(OR AGE)</td>
<td>CHECK PRIMARY CHILD</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

B-2 (cont'd)

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<td></td>
<td></td>
</tr>
<tr>
<td>MO</td>
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</tr>
<tr>
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<td></td>
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</tr>
<tr>
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SAMPLING TABLE
B-3. According to my instructions the primary child is ...

NAME
ENTER ON WORKSHEET

B-4. What is the race of (PRIMARY CHILD)? Is it ...

a. American Indian or Alaskan Native 01
b. Asian or Pacific Islander 02
c. Black (Not Hispanic) 03
d. Hispanic, or 04
e. White (Not Hispanic)? 05
f. OTHER (SPECIFY) 06
g. RACE UNKNOWN 08

B-5. At birth did the child...

a. live with either or both biological parents 01
b. live on an informal basis with other relatives 02
c. enter out of home care, or 03
d. was the child placed for adoption? 04
e. OTHER (SPECIFY) 05
B-6. On (START DATE) Was the primary child (still) in foster care?

Yes 1 (C-1a, HHE1)
No 2 (C-1c, HHE 2)

SECTION C. HOUSEHOLD ENUMERATION (HHE 1)

C-1a. The next questions are about the primary child's household of origin. By that I mean all the people who on (START DATE) comprised the household from which the child was removed, including other children who may have been in foster care or pre-finalized adoption on that date.

(IF CHILD REMOVED BEFORE START DATE: These may be the same or different people who were there when the child was removed.)

Let's start with the primary child.

BOX 3

CASEWORKER HAS NO INFORMATION ON CHILD'S HOUSEHOLD OF ORIGIN
1 (a)

a. STATE REASON: (C-1b)

CASEWORKER IS INFORMED ON CHILD'S HOUSEHOLD OF ORIGIN
2 (HHE 2, COL. B)

C-1b. In that case I would just like some information about the primary child and any sibling (s/he) may have. (GO TO COL. B)

A.
PERSON
#S
B. NAME
   a. ENTER NAME FROM WORKSHEET.
   b. What are the first names of the child's siblings?

Primary Child
C. RELATIONSHIP
What is (SIBLING'S) relationship to the primary child?
(READ EACH NAME)

D. BIRTHDATE
What is (PERSON)'s birthdate?
(READ EACH NAME)

FOR CHILDREN WHOSE BIRTHDATES WERE OBTAINED IN SAMPLING TABLE, COPY FROM B-2.
E. AGE
ASK CURRENT AGE IF BIRTH-DATE UNKNOWN

F. SEX
(ENTER SEX. ASK IF UN-SURE.)

M  F
1  2
1  2
1  2
1  2
1  2
1  2
1  2
1  2
1  2
1  2
1  2

G.
MARITAL STATUS OF PERSONS 16 OR OLDER
What is (PERSON)'s marital status? READ EACH NAME AND ENTER CODE

Married        (1)
Wid           (2)
Div           (3)
Sep           (4)
Nev.Mar.      (5)
DK            (8)
C-1c. The next questions are about the primary child's household of origin. By that I mean all the people who were living in the household on (START DATE). Please include children who were in foster care, or pre-finalized adoption on that date. Let's start with the primary child.

A.
PERSON
#S
B. NAME
a. ENTER NAME FROM WORKSHEET.

b. What is the primary caretaker's first name? ENTER NAME BELOW AND ON WORKSHEET.

c. What are the first names of all the other persons in the household?

Primary Child

Primary Caretaker

C. RELATIONSHIP
What is (PERSON'S) relationship to the primary child? (READ EACH NAME)
D. BIRTHDATE
What is (PERSON)'s birthdate?
(READ EACH NAME)

FOR CHILDREN WHOSE BIRTHDATES WERE OBTAINED IN SAMPLING TABLE,
COPY FROM B-2.

MO DAY YR
E.
AGE
ASK CURRENT AGE IF BIRTH-DATE UNKNOWN
F.
SEX
(ENTER SEX. ASK IF UN-SURE.)

G.
MARITAL STATUS OF PERSONS 16 OR OLDER
What is (PERSON)'s marital status? READ EACH NAME AND ENTER CODE

Married (1)
Wid (2)
Div (3)
Sep (4)
Nev.Mar. (5)
DK (8)
BOX 4

HHE-1 completed for primary child (and sibling(s) only.
(Omit any subsequent questions referring to the primary caretaker. These questions are designated by an asterisk(*)) 1 (SECTION E)

HHE-2 completed for household of origin 2 (C-2)

C-2. You told me the names of (all) the people who lived in the household on (START DATE). They are (REPEAT NAMES). Was there anyone else?

_ ADD ANYONE WHO MAY HAVE BEEN FORGOTTEN.

_ DRAW LINE ACROSS THE PAGE BELOW LAST NAME LISTED.

C-3. Did anyone join the household at any time between (START DATE) and (END DATE)?

YES 1 (a)
NO 2 (WRITE "NONE" BELOW THE LINE)

a. What is the first name of (each/that) person?
ADD NAME(S) AND ASK C-G FOR EACH ADDED PERSON.

* SECTION D. PRIMARY CARETAKER

The next questions are about the primary caretaker and the household of origin.

D-1. What is the race of (PRIMARY CARETAKER)? Is it . . .

a. American Indian or Alaskan Native 01
b. Asian or Pacific Islander 02
c. Black, not Hispanic 03
d. Hispanic, or 04
e. White, not Hispanic? 05
f. OTHER (SPECIFY) 06
g. RACE UNKNOWN 88

D-2. Is the primary caretaker able to discuss case problems and service needs in English?

Yes 1
No 2
OTHER (SPECIFY LANGUAGE) 3

D-3. Please look at Card D-3. On (START DATE) What is the highest grade or year of regular schooling (PRIMARY CARETAKER) had completed?

a. NO FORMAL SCHOOLING 01
b. 8TH GRADE OR LESS 02
c. 9TH - 12TH GRADE, BUT NOT A HIGH SCHOOL GRADUATE 03
d. HIGH SCHOOL DIPLOMA OR GED 04
e. SOME COLLEGE 05
f. JUNIOR/COMMUNITY COLLEGE OR TECHNICAL/ VOCATIONAL DEGREE OR DIPLOMA 06
g. COLLEGE DEGREE 07
h. SOME GRADUATE SCHOOL 08
D-4. Please look at Card D-4. What was the employment status of (PRIMARY CARETAKER)?

a. WORKING FULL TIME REGULARLY AT LEAST 35 HOURS PER WEEK 01
b. WORKING PART TIME REGULARLY 02
c. WORKING FULL- OR PART-TIME SPORADICALLY 03
d. UNEMPLOYED, LOOKING FOR WORK 04
e. UNEMPLOYED, NOT LOOKING FOR WORK 05
f. RETIRED 06
g. IN SCHOOL 07
h. KEEPING HOUSE 08
i. WITH NO JOB, UNABLE TO WORK (DISABLED) 09
j. DK/INFORMATION NOT IN CASE-RECORD 88

D-5. On (START DATE) did (PRIMARY CARETAKER) have a spouse or partner?
Yes 1
No 2 (D-9)

D-6. Is the spouse or partner of (PRIMARY CARETAKER) the parent of the primary child?
Yes 1
No 2
DK/INFORMATION NOT IN CASE RECORD 8

D-7. Did the spouse or partner live in the household?
Yes 1
No 2 (D-9)

D-8. Please look at Card D-4 again. On (START DATE) what was the employment status of the spouse or partner of (PRIMARY CARETAKER)?

a. WORKING FULL TIME REGULARLY AT LEAST 35 HOURS PER WEEK 01
b. WORKING PART TIME REGULARLY 02
c. WORKING FULL- OR PART-TIME SPORADICALLY 03
d. UNEMPLOYED, LOOKING FOR WORK 04
e. UNEMPLOYED, NOT LOOKING FOR WORK 05
f. RETIRED 06
g. IN SCHOOL 07
h. KEEPING HOUSE 08
i. WITH NO JOB, UNABLE TO WORK (DISABLED) 09
j. DK/INFORMATION NOT IN CASE-RECORD 88

D-9. a. Was anyone in the household receiving . . .
DK/INFORMATION
NOT IN CASE
YES NO RECORD
Food Stamps? 1 2 8

b. (Was/were (PRIMARY CARETAKER) or the child(ren) enrolled in . . .
AFDC? 1 2 8
Medicaid? 1 2 8
The WIC Program? 1 2 8

D-10. On (START DATE) was the household's income derived mainly from . . .
Wages and salaries, 01
Governmental support programs, or, 02
From some other source? (SPECIFY) 03

DK/INFORMATION NOT IN CASE-RECORD 88

D-11. Were there any other caretakers either in or outside the household who provided

care and supervision to the child?

Yes 1 (a)
No 2 (D-12)

DK/INFORMATION NOT IN CASE-RECORD 8 (D-12)

a. Please look at Card D-11. What is the relationship of the other caretakers to the

child?

a. BIRTH MOTHER 01
b. BIOLOGICAL FATHER 02
<p>| | |</p>
<table>
<thead>
<tr>
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<tbody>
<tr>
<td>c.</td>
<td>GRANDPARENT 03</td>
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<tr>
<td>d.</td>
<td>STEP-PARENT 04</td>
</tr>
<tr>
<td>e.</td>
<td>SIBLING 05</td>
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<td>f.</td>
<td>OTHER RELATIVE (SPECIFY) 06</td>
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<td>g.</td>
<td>UNRELATED PERSON (SPECIFY) 07</td>
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</table>

D-12. Please look at Card D-12. Which of the following statements best describes the household's living arrangements?

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<table>
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<tbody>
<tr>
<td>a.</td>
<td>CHILD(REN) AND NUCLEAR FAMILY MEMBERS LIVED IN DWELLING FOR THEM ALONE 01</td>
</tr>
<tr>
<td>b.</td>
<td>CHILD(REN) AND EXTENDED FAMILY MEMBERS LIVED IN DWELLING FOR THEM ALONE 02</td>
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<td>c.</td>
<td>CHILD(REN) AND FAMILY MEMBERS SHARED DWELLING WITH NON-FAMILY MEMBERS 03</td>
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<tr>
<td>d.</td>
<td>CHILD(REN) AND FAMILY MEMBERS LIVED IN `WELFARE HOTEL' OR SHELTER 04</td>
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<td>e.</td>
<td>CHILD(REN) AND FAMILY MEMBERS HAVE NO REGULAR DWELLING -- LIVED ON STREET, IN CAR, IN ABANDONED OR CONDEMNED BUILDING 05</td>
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<td>f.</td>
<td>OTHER (SPECIFY) 06</td>
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D-13. Please look at Card D-13. How would you describe the living arrangements of this household on (START DATE)?

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<tr>
<td>a.</td>
<td>PERMANENT: FAMILY WAS NOT IN DANGER OF BEING EVICTED OR ASKED TO LEAVE HOUSING 01</td>
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<td>b.</td>
<td>UNSTABLE: CONTINUATION OF LIVING ARRANGEMENTS WAS QUESTIONABLE. PROBLEMS AMONG HOUSEHOLD MEMBERS AND/OR LANDLORD MAY CAUSE THE FAMILY TO HAVE TO LEAVE OR NOT BE ABLE TO CONTINUE HOUSING OR RENTAL PAYMENTS 02</td>
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<td>c.</td>
<td>TEMPORARY: HOUSING ARRANGEMENTS WERE TEMPORARY AND FAMILY WAS EXPECTED TO NEED NEW HOUSING WITHIN 30 DAYS 03</td>
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</table>
D-14. Please look at Card D-14. Was there evidence of any of these conditions? (CIRCLE ALL MENTIONED)

a. THE HOME WAS EXTREMELY OVERCROWDED 01

b. MAJOR HOUSEHOLD SYSTEMS DID NOT WORK (E.G., PLUMBING, ELECTRICITY, HEAT) 02

c. THE FAMILY WAS FACING EVICTION 03

d. PROBLEMS WITH THE LANDLORD 04

e. PROBLEMS WITH NEIGHBORS 05

f. NEIGHBORHOOD CRIME AND DRUG PROBLEMS 06

g. NONE OF THE ABOVE 00

SECTION E. BIRTH MOTHER

BOX 5

REFER TO HOUSEHOLD ENUMERATION

The Primary Caretaker was the birth mother 1 (SECTION F)

The Primary Caretaker was someone else 2 (E-1)

The next questions are about the birth mother.

E-1. Was the birth mother of the child living on (START DATE)?

Yes 1  ó  ENTER

No 2 ó  ó  ON  ó  (SECTION F) ó  WORK-
E-2. What is the race of the birth mother? Is it . . .

a. American Indian or Alaskan Native 01
b. Asian or Pacific Islander 02
c. Black, not Hispanic 03
d. Hispanic, or 04
e. White, not Hispanic? 05
f. OTHER (SPECIFY) 06
g. DK 88

E-3. What was the marital status of the birth mother . . .

a. Married, 1
b. Widowed, 2
c. Divorced, 3
d. Separated, or 4
e. Never married? 5
f. DK/INFORMATION NOT IN CASE-RECORD 8

E-4. Please look at Card D-3 (again). What is the highest grade or year of regular schooling the birth mother had completed?

a. NO FORMAL SCHOOLING 01
b. 8TH GRADE OR LESS 02
c. 9TH - 12TH GRADE, BUT NOT A HIGH SCHOOL GRADUATE 03
d. HIGH SCHOOL DIPLOMA OR GED 04
e. SOME COLLEGE 05
f. JUNIOR/COMMUNITY COLLEGE OR TECHNICAL/ VOCATIONAL DEGREE OR DIPLOMA 06
g. COLLEGE DEGREE 07
h. SOME GRADUATE SCHOOL 08
i. GRADUATE DEGREE 09
j. DK/INFORMATION NOT IN CASE-RECORD 88
E-5. Please look at Card D-4 (again). On (START DATE) what was the employment status of the birth mother?

a. WORKING FULL TIME REGULARLY AT LEAST 35 HOURS PER WEEK 01
b. WORKING PART TIME REGULARLY 02
c. WORKING FULL-OR PART-TIME SPORADICALLY 03
d. UNEMPLOYED, LOOKING FOR WORK 04
e. UNEMPLOYED, NOT LOOKING FOR WORK 05
f. RETIRED 06
g. IN SCHOOL 07
h. KEEPING HOUSE 08
i. WITH NO JOB, UNABLE TO WORK (DISABLED) 09
j. DK/INFORMATION NOT IN CASE-RECORD 88

E-6. Please look at Card E-6. Where did the birth mother live on (START DATE)?

a. LIVED ON HER OWN OR WITH OTHER FRIENDS OR FAMILY 01
b. LIVED IN AN INSTITUTION OR HOSPITAL 02
c. LIVED IN A RESIDENTIAL TREATMENT FACILITY 03
d. INCARCERATED 04
e. IN THE MILITARY 05
f. LIVED IN ANOTHER COUNTRY 06
g. WHEREABOUTS NOT KNOWN 07
h. OTHER (SPECIFY) 08
i. DK/INFORMATION NOT IN CASE-RECORD 88

E-7. Please look at Card E-7. Which of these statements best describes the frequency of contact, in person, by mail, or by phone, between the birth mother and the child on or about (START DATE)?

a. MOTHER HAD NO CONTACT WITH CHILD FOR AT LEAST ONE YEAR 1
b. MOTHER HAD CONTACT WITH CHILD IN PAST YEAR, BUT SEPARATION IS PERMANENT 2
c. MOTHER HAD CONTACT WITH CHILD
PERIODICALLY BUT FUTURE PLANS WERE UNCERTAIN 3
d. MOTHER HAD CONTACT WITH CHILD PERIODICALLY AND PLANNED TO REUNITE WITH CHILD 4

OTHER (SPECIFY) 5
e. DK/INFORMATION NOT IN CASE-RECORD 8

E-8. On or about (START DATE) did the mother contribute to the child's financial support . . .

Regularly, 1
Occasionally, or 2
Never? 3
DK/INFORMATION NOT IN CASE-RECORD 8

SECTION F. BIOLOGICAL FATHER

BOX 6

REFER TO HOUSEHOLD ENUMERATION

The Primary Caretaker was the biological father 1 (SECTION G)
The Primary Caretaker was someone else 2 (f-1)

The next questions are about the biological father.

F-1. Is the paternity of the child known?

Yes 1 ð
does not apply

No 2 ð ð
F-2. Was the biological father of the child living on (START DATE)?

Yes 1  
No 2  

Enter

F-3. What is the race of the child's father? Is it . . .

a. American Indian or Alaskan Native 01  
b. Asian or Pacific Islander 02  
c. Black, not Hispanic 03  
d. Hispanic, or 04  
e. White, not Hispanic? 05  
f. OTHER (SPECIFY) 06  
g. DK/INFORMATION NOT IN CASE-RECORD 88  

F-4. On (START DATE) what was the marital status of the father . . .

a. Married 1  
b. Widowed 2  
c. Divorced 3  
d. Separated, or 4  
f. Never married? 5  
g. DK/INFORMATION NOT IN CASE-RECORD 8  

F-5. Please look at Card D-3 (again). What was the highest grade or year of regular schooling the father had completed?

a. NO FORMAL SCHOOLING 01  
b. 8TH GRADE OR LESS 02  
c. 9TH - 12TH GRADE, BUT NOT A HIGH SCHOOL GRADUATE 03  
d. HIGH SCHOOL DIPLOMA OR GED 04
F-6. Please look at Card D-4 (again). What was the employment status of the father?

a. WORKING FULL TIME REGULARLY AT LEAST 35 HOURS PER WEEK  
   b. WORKING PART TIME REGULARLY  
   c. WORKING FULL- OR PART-TIME SPORADICALLY  
   d. UNEMPLOYED, LOOKING FOR WORK  
   e. UNEMPLOYED, NOT LOOKING FOR WORK  
   f. RETIRED  
   g. IN SCHOOL  
   h. KEEPING HOUSE  
   i. WITH NO JOB, UNABLE TO WORK (DISABLED)  
   j. DK/INFORMATION NOT IN CASE-RECORD  

F-7. Please look at Card F-7. Where did the father live on (START DATE)?

a. LIVED IN HOUSEHOLD OF ORIGIN  
   b. LIVED ON HIS OWN OR WITH OTHER FRIENDS OR FAMILY  
   c. LIVED IN AN INSTITUTION OR HOSPITAL  
   d. LIVED IN A RESIDENTIAL TREATMENT FACILITY  
   e. INCARCERATED  
   f. IN THE MILITARY  
   g. LIVED IN ANOTHER COUNTRY  
   h. WHEREABOUTS NOT KNOWN  
   i. OTHER (SPECIFY)  

F-8. Please look at Card E-7 (again). Which of these statements best describes the frequency of contact in person, by mail, or by phone, between the father and the child on or about (START DATE)?
a. FATHER HAD NO CONTACT WITH CHILD FOR AT LEAST ONE YEAR 01

b. FATHER HAD CONTACT WITH CHILD IN PAST YEAR, BUT SEPARATION WAS PERMANENT 02

c. FATHER HAD CONTACT WITH CHILD PERIODICALLY BUT FUTURE PLANS WERE UNCERTAIN 03

d. FATHER SAW CHILD PERIODICALLY AND PLANNED TO REUNITE WITH CHILD 04

e. OTHER (SPECIFY) 05

f. DK/INFORMATION NOT IN CASE-RECORD 08

F-9. On or about (START DATE) did the father contribute to the child's financial support . . .

Regularly, 1
Occasionally, or 2
Never? 3
DK/INFORMATION NOT IN CASE-RECORD 8

SECTION G. HEALTH

G-1. On (START DATE) was the child under treatment for any physical or mental health condition?

Yes 1
No 2
DK 8

G-2a. Please look at Card G-2. Which of these handicapping conditions has the child ever had? (CIRCLE ALL MENTIONED)
b. Was the diagnosis based on your own judgment, was it based on a school report, or was it a clinical diagnosis?

a. NONE 00 (BOX 7)
b. DEVELOPMENTAL DISABILITY 01
c. EMOTIONAL DISTURBANCE 02
d. LEARNING DISABILITY 03
e. HEARING, SPEECH OR SIGHT IMPAIRMENT 04
f. ANY OTHER HANDICAPPING CONDITIONS (SPECIFY) 05

FOR ANY CONDITIONS CIRCLED IN a, ASK b.
Caseworker School Clinical
diagnosis judgment report

1 2 3
1 2 3
1 2 3
1 2 3
1 2 3
1 2 3

BOX 7

REFER TO HOUSEHOLD ENUMERATION

AT START DATE PRIMARY CHILD WAS AGE ONE OR OLDER, 1
(SECTION H)

AT START DATE PRIMARY CHILD WAS UNDER AGE ONE 2 (G-3)
G-3. Was there any evidence of the following at or since birth? (CIRCLE YES OR NO FOR EACH ITEM)

YES   NO   DK

a. Low birthweight 1 2 8
b. Positive drug toxicology 1 2 8
c. Positive alcohol toxicology 1 2 8
d. HIV positive 1 2 8
e. Prematurity 1 2 8

SECTION H. EDUCATION

The next questions are about the education status of the primary child on (END DATE) in regular school or a special education program.

H-1. Please look at Card H-1. On (END DATE) what level of school was the child attending?

a. A PRE-SCHOOL PROGRAM 01  (SECTION I)
b. ELEMENTARY SCHOOL GRADES K-8 02
c. HIGH SCHOOL GRADES 9-12 03
d. COLLEGE YEAR 1-4 04
e. BEING TAUGHT IN AN APPROVED HOME STUDY PROGRAM 05
f. IN A SPECIAL EDUCATION PROGRAM, (CHECK ELEMENTARY OR HIGH SCHOOL) □ ELEM. □ H.S. 06
g. NOT ATTENDING SCHOOL 07
H-3

H-2. Please look at Card H-2. On (END DATE), what is the main reason the child was not in school?

a. NOT OF SCHOOL AGE (UNDER AGE 5) 01 (SECTION I)
b. DROPPED OUT OF SCHOOL 02
c. HAD ACUTE MENTAL OR PHYSICAL HEALTH PROBLEM WHICH PRECLUDED REGULAR SCHOOL ATTENDANCE 03
d. HAD CHRONIC MENTAL OR PHYSICAL HEALTH PROBLEM WHICH PRECLUDED REGULAR SCHOOL ATTENDANCE 04
e. WAS INSTITUTIONALIZED 05
f. WAS INCARCERATED 06
g. Some other reason? (SPECIFY) 07
h. DK/INFORMATION NOT IN CASE RECORD 88

H-3. Please look at Card H-3. On (END DATE) what is the highest grade or year of regular schooling the child had completed?

KINDERGARTEN 00
1ST GRADE 01
2ND GRADE 02
3RD GRADE 03
4TH GRADE 04
5TH GRADE 05
6TH GRADE 06
7TH GRADE 07
8TH GRADE 08
1ST YEAR H.S. 09
2ND YEAR H.S. 10
3RD YEAR H.S. 11
4TH YEAR H.S. 12
<table>
<thead>
<tr>
<th>Education Level</th>
<th>Count</th>
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<tr>
<td>Graduated H.S.</td>
<td>38</td>
</tr>
<tr>
<td>GED</td>
<td>48</td>
</tr>
<tr>
<td>1 Year College</td>
<td>13</td>
</tr>
<tr>
<td>2 Years College</td>
<td>14</td>
</tr>
<tr>
<td>3 Years College</td>
<td>15</td>
</tr>
<tr>
<td>4 Years College</td>
<td>16</td>
</tr>
<tr>
<td>In Ungraded Special Ed Program</td>
<td>58</td>
</tr>
<tr>
<td>Child 5 years old or older and never attended school</td>
<td>68</td>
</tr>
<tr>
<td>Other (Specify)</td>
<td>78</td>
</tr>
<tr>
<td>DK/Information not in Case-Record</td>
<td>88</td>
</tr>
</tbody>
</table>
**BOX 7A**

REFER TO Q.H-1

CHILD ATTENDING SCHOOL (CODES 02 - 06 CIRCLED) 1 (H-4)

CHILD NOT ATTENDING SCHOOL (CODE 07 CIRCLED) 2 (SECTION H)

H-4. On (END DATE) was the child at the age appropriate grade level in school?

Yes 1
No 2 (a)
DK/INFORMATION NOT IN CASE-RECORD 3

a. At about what grade level was the child performing?

GRADE LEVEL

DK/INFORMATION NOT IN CASE-RECORD 88

SECTION I. CASE HISTORY

The next questions are about the history of this case.

I-1. On what date did the case open most recently? By that I mean, when was the report made to CPS?

__/__/____

MO DAY YEAR

I-2. Please look at Card I-2. What were the reasons for the case opening (most recently)?
a. SEXUAL ABUSE ALLEGATION 01
b. PHYSICAL ABUSE ALLEGATION 02
c. EMOTIONAL ABUSE ALLEGATION 03
d. PHYSICAL NEGLECT ALLEGATION 04 (a)
e. EMOTIONAL NEGLECT ALLEGATION 05
f. MEDICAL NEGLECT ALLEGATION 06
g. LACK OF SUPERVISION ALLEGATION 07
h. ABANDONMENT ALLEGATION 08
i. FAILURE TO THRIVE ALLEGATION 09
j. PARENT CHILD CONFLICT 10
k. RUNAWAY OR HOMELESS YOUTH 11
l. REQUEST FOR TEEN PARENT SERVICES 12
m. STATUS OFFENDER OR DELINQUENT 13
n. OTHER CHILD RELATED PROBLEM (SPECIFY) 14 (I-3)
o. OTHER FAMILY RELATED PROBLEM (SPECIFY) 15
p. OTHER REASON(S) FOR OPENING THE CASE (SPECIFY) 16
I-2. (continued)

a. Was the alleged perpetrator ...
   (CIRCLE ALL THAT APPLY)

   the birth mother 01
   the biological father 02
   another household member, or 03
   someone else? (SPECIFY) 04

I-3. Please look at Card I-3. What was the main referral source to CPS when the case opened (most recently)?

a. POLICE OR SHERIFF 01
b. PROBATION OR CORRECTIONS 02
c. CORONER OR MEDICAL EXAMINER 03
d. HEALTH, WELFARE, OR SOCIAL SERVICE AGENCY
   (1) PUBLIC ASSISTANCE 04
   (2) CHILD WELFARE 05
   (3) OTHER PUBLIC AGENCY 06
   (4) OTHER PRIVATE AGENCY 07
   (5) OTHER SOCIAL SERVICE AGENCY (SPECIFY) 08
e. SCHOOL 09
f. DAY CARE 10
g. HOSPITAL/CLINIC/PHYSICIAN OR OTHER HEALTH CARE WORKER 11
h. MENTAL HEALTH OR SUBSTANCE ABUSE PROGRAM 12
i. HOUSEHOLD MEMBER 13
j. FRIEND, NEIGHBOR OR RELATIVE NOT IN HOUSEHOLD 14
k. SELF (PRIMARY CHILD) 15
l. ANONYMOUS 16
m. OTHER (SPECIFY) 17

I-4. (Including yourself), how many caseworkers have been assigned to this case since the case opened most recently? Is it...

One 1
Two 2
Three 3
Four, or 4
Five or more? 5
DK/NOT SURE 8

I-5. Have there been any previous case openings for this household?

Yes 1 (a)

No, only most recent opening 2 (I-9)

a. Including the most recent opening, how many times has this case opened, would you say...

2 times 1

3 times, or 2

4 or more times? 3

I-6. In what month and year was your agency's first contact with this household?

19
________/___________ (a)
MO YR

a. Was the primary child involved in the first contact?

Yes 1
No 2
DK/NOT IN CASE RECORD 8

I-7. Please look at Card I-2 again. What were the reasons for the case opening the first time?
(CIRCLE ALL MENTIONED)

a. SEXUAL ABUSE ALLEGATION 01 ò

b. PHYSICAL ABUSE ALLEGATION 02 ò
c. EMOTIONAL ABUSE ALLEGATION 03
   ó

d. PHYSICAL NEGLECT ALLEGATION 04 ó
   ó (a)
e. EMOTIONAL NEGLECT ALLEGATION 05 ó

f. MEDICAL NEGLECT ALLEGATION 06 ó

g. LACK OF SUPERVISION ALLEGATION 07 ó

h. ABANDONMENT ALLEGATION 08 ó

i. FAILURE TO THRIVE ALLEGATION 09 ó

j. PARENT CHILD CONFLICT 10 ó

k. RUNAWAY OR HOMELESS YOUTH 11 ó

l. REQUEST FOR TEEN PARENT SERVICES 12 ó

m. STATUS OFFENDER OR DELINQUENT 13 ó

n. OTHER CHILD RELATED PROBLEM (SPECIFY) 14 ó (I-8)
   ó

o. OTHER FAMILY RELATED PROBLEM (SPECIFY) 15 ó
   ó
   ó

p. OTHER REASON(S) FOR OPENING THE CASE (SPECIFY) 16 ó

a. Was the alleged perpetrator ...
   (CIRCLE ALL THAT APPLY)
   the birth mother 01

   the biological father 02

   another household member, or 03
I-8. Please look at Card I-8. What were the reasons the case closed? (CIRCLE ALL MENTIONED)

a. THE FAMILY WAS REUNIFIED 01
b. THE FAMILY DISCONTINUED SERVICES 02
c. THE FAMILY LEFT THE JURISDICTION 03
d. THE PERPETRATOR WAS NO LONGER IN THE HOUSEHOLD 04
e. THE AGENCY DISCONTINUED SERVICES 05
f. PARENTAL RIGHTS WERE TERMINATED 06
g. THE CHILD WAS ADOPTED 07
h. FAMILY PRESERVATION SERVICES WERE EFFECTIVE 08
i. THE CHILD(REN) REACHED THE AGE OF MAJORITY 09
j. THE CHILD(REN) LEFT THE HOUSEHOLD 10
k. OTHER REASONS THE CASE CLOSED? (SPECIFY) 11

I-9. From the time the case (first) opened until (END DATE), how many abuse or neglect allegations have there been altogether in which the primary child was the alleged victim? Is it . . .
I-10. From the time the case (first) opened until (END DATE), how many abuse or neglect allegations have there been altogether in which the Primary Caretaker or (his/her) partner was the alleged perpetrator? Is it . . .

None 0
One 1
Two 2
Three 3
Four, or 4
Five or more? 5
DK/INFORMATION NOT IN CASE RECORD 8

I-11. From the time the case (first) opened until (END DATE), how many foster care episodes, including placement in group homes and institutions, have there been altogether involving the primary child? Is it . . .

None 0  (I-13)
One 1
Two 2
Three 3
Four, or 4
Five or more? 5
DK/INFORMATION NOT IN CASE RECORD 8
I-12. On what date was the primary child placed in foster care the first time?

_____/_____/_____
MO DAY YEAR

I-13. Were any other children in this household ever placed in foster care?

Yes 1
No 2
NO OTHER CHILDREN IN HOUSEHOLD 3
DK/INFORMATION NOT IN CASE RECORD 8

SECTION J. PROBLEMS

The next questions are about problems and ongoing conditions of persons in the household of origin.

J-1. Please look at Card J-1 and tell me which of these problems or ongoing conditions were present regarding (PRIMARY CHILD/other children in the household) in the most recent service episode prior to (END DATE)?

A. problems or onGOING conditions...

...OF THE
Primary Child
(CIRCLE ALL MENTIONED)

YES

(1) SUBSTANTIATED PHYSICAL ABUSE 1
(2) SUBSTANTIATED SEXUAL ABUSE 1
(3) SUBSTANTIATED EMOTIONAL NEGLECT/ABUSE 1
(4) SUBSTANTIATED PHYSICAL NEGLECT 1
(5) SUBSTANTIATED MEDICAL NEGLECT 1
(6) SUBSTANTIATED LACK OF SUPERVISION 1
(7) SUBSTANTIATED ABANDONMENT 1
(8) SUBSTANTIATED FAILURE TO THRIVE 1

BOX 8

REFER TO HOUSEHOLD ENUMERATION OTHER CHILDREN IN HOUSEHOLD?

Yes 1 (REPEAT Q. & GO TO B)
No 2 (J-2)

B.

...OF OTHER CHILD(REN) IN HOUSEHOLD

(CIRCLE ALL MENTIONED)

YES

1

1

1
J-2. Please take a few moments to look at Card J-2 (again) and tell me which of these other problems or ongoing conditions were present regarding (PRIMARY CHILD/other children in the household), at any time between (START DATE) and (END DATE)?

<table>
<thead>
<tr>
<th>PROBLEMS OR ONGOING CONDITIONS</th>
<th>CHILD</th>
<th>HOUSEHOLD</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. B. C. D.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

...OF THE...OF OTHER PROBLEMS OR PRIMARY CHILD(REN) IN

<table>
<thead>
<tr>
<th>Physiological Health Problems</th>
<th>1 1 2 1 1 2</th>
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</thead>
<tbody>
<tr>
<td>Emotional Problems</td>
<td>1 1 2 1 1 1</td>
</tr>
<tr>
<td>Drug Abuse of Child</td>
<td>1 1 2 1 1 2</td>
</tr>
<tr>
<td></td>
<td>ALCOHOL ABUSE OF CHILD</td>
</tr>
<tr>
<td>---</td>
<td>------------------------</td>
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<tr>
<td></td>
<td>HIV POSITIVE</td>
</tr>
<tr>
<td></td>
<td>PREGNANCY OR PARENTING</td>
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<td>GENERAL SCHOOL PROBLEMS</td>
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<td>INADEQUATE OR LACK OF SUPERVISION</td>
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<tr>
<td></td>
<td>TRUANCY</td>
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<td>RUNAWAY</td>
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<tr>
<td></td>
<td>DELINQUENCY</td>
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<tr>
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<td>NO ONE WILLING TO PROVIDE CARE</td>
</tr>
<tr>
<td></td>
<td>ABANDONMENT</td>
</tr>
<tr>
<td></td>
<td>FAILURE TO THRIVE</td>
</tr>
<tr>
<td></td>
<td>NEGLECT OF BASIC NEEDS (SPECIFY)</td>
</tr>
<tr>
<td></td>
<td>OTHER (SPECIFY)</td>
</tr>
</tbody>
</table>

**BOX 9 BOX 10 BOX 11**

YES(ES) IN COL. A 1 (B) OTHER CHILDREN YES(ES) IN NO YESES IN COL. A 2 (BOX 10) IN HH 1 (REPEAT J-2 COL C 1 (D) & GO TO C) NO YESES NO OTHER CHILDREN IN COL C 2 (J-3)
J-3. Please look at Card J-3 (again) and tell me which of these problems or ongoing conditions were present regarding (PRIMARY CARETAKER) at any time between (START DATE) and (END DATE)?

* A. B. 

...OF THE PROBLEMS OR PRIMARY ONGOING CONDITIONS... CARETAKER By (END YES DATE), was the problem resolved? receiving (CIRCLE ALL MENTIONED) attention, or

<p>| (25) HOMELESS AND LIVING IN A SHELTER | 1 | 1 | 2 |
| (26) HOMELESS AND LIVING WITH FRIENDS | 1 | 1 | 2 |
| (27) OTHER HOUSING PROBLEMS | 1 | 1 | 2 |
| (28) DRUG ABUSE | 1 | 1 | 2 |
| (29) ALCOHOL ABUSE | 1 | 1 | 2 |
| (30) HIV POSITIVE | 1 | 1 | 2 |
| (31) PHYSICAL HEALTH PROBLEMS | 1 | 1 | 2 |
| (32) MENTAL HEALTH PROBLEMS | 1 | 1 | 2 |
| (33) MENTAL RETARDATION | 1 | 2 |</p>
<table>
<thead>
<tr>
<th></th>
<th>Description</th>
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<td>34</td>
<td>IN TREATMENT FACILITY</td>
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<td>1</td>
</tr>
<tr>
<td>35</td>
<td>INSTITUTIONALIZED</td>
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<td>1</td>
</tr>
<tr>
<td>36</td>
<td>PARENT-CHILD CONFLICT</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>37</td>
<td>LACK OF PARENTING SKILLS</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>38</td>
<td>LACK OF EDUCATION OR JOB SKILLS</td>
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<td>1</td>
</tr>
<tr>
<td>39</td>
<td>MONEY MANAGEMENT</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>40</td>
<td>LACK OF INCOME</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>41</td>
<td>MARITAL CONFLICT</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>42</td>
<td>BATTERY BY PARTNER</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>43</td>
<td>INCARCERATED</td>
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<td>1</td>
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<tr>
<td>44</td>
<td>OTHER (SPECIFY)</td>
<td>1</td>
<td>1</td>
</tr>
</tbody>
</table>

**BOX 12**

<table>
<thead>
<tr>
<th></th>
<th>YES(ES) IN COL. A</th>
<th></th>
<th>NO YESES IN COL. A</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
<td></td>
<td>2</td>
</tr>
</tbody>
</table>

**J-4** Please look at Cards J-1, J-2, and J-3 again. Of all the problems you mentioned for all the people in the household, which do you consider the most serious problem? (IF NECESSARY, REPEAT YES RESPONSES)

---

**MOST SERIOUS PROBLEM**

**PROBLEM #**

a. Would you please describe that problem:
SECTION K. SERVICES

The next questions are about services to the household from (START DATE) to (END DATE).

K-1a. Please take a few moments to look at Card K-1. Which of these services were offered to the primary child during that period?

K-1b. Who provided (SERVICE) directly to the child? Was it (READ C, D, AND E)?

<table>
<thead>
<tr>
<th>A.</th>
<th>B.</th>
<th>C.</th>
<th>D.</th>
<th>E.</th>
<th>F.</th>
<th>G.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Other IDENTITY</td>
<td>arranged by the</td>
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<tr>
<td></td>
<td></td>
<td>Was this service</td>
<td>Child</td>
<td></td>
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<tr>
<td>Public</td>
<td>Child</td>
<td>Public</td>
<td>Private</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Services</td>
<td>Offered?</td>
<td>provided?</td>
<td>Welfare Agency</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provider</td>
<td>UNKNOWN</td>
<td>Welfare Agency?</td>
<td></td>
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</tr>
</tbody>
</table>

(CIRCLE ALL MENTIONED. YES NO DK)

PROBE: Any other services?)

INFO
IN
CASE

(CIRCLE ALL MENTIONED)

YES NO
<table>
<thead>
<tr>
<th></th>
<th>HEALTH SCREENING:</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>DIAGNOSIS, CHECK-UPS</td>
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<tr>
<td></td>
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<tr>
<td>b.</td>
<td>HEALTH TREATMENT</td>
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<td></td>
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</tr>
<tr>
<td>c.</td>
<td>PSYCHOLOGICAL ASSESSMENT</td>
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<tr>
<td>d.</td>
<td>MENTAL HEALTH TREATMENT OR COUNSELING-OUTPATIENT</td>
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<td></td>
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<tr>
<td>e.</td>
<td>MENTAL HEALTH TREATMENT-INPATIENT</td>
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<tr>
<td>f.</td>
<td>CASE MGMT./COUNSELING (OTHER THAN BY WORKER OR MENTAL HEALTH PROFESSIONAL)</td>
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<td>g.</td>
<td>SUBSTANCE ABUSE TREATMENT-OUTPATIENT</td>
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<td></td>
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<tr>
<td>h.</td>
<td>SUBSTANCE ABUSE TREATMENT-INPATIENT</td>
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<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>i.</td>
<td>SELF HELP GROUPS</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>j.</td>
<td>TUTORING</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>k.</td>
<td>CRISIS NURSERY</td>
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<td></td>
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<tr>
<td>l.</td>
<td>EARLY CHILDHOOD EDUCATION</td>
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<td></td>
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<tr>
<td>m.</td>
<td>EDUCATIONAL SERVICES FOR DROPOUT (E.G., GED PREP)</td>
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<td></td>
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<td></td>
<td></td>
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<tr>
<td>n.</td>
<td>EMPLOYMENT AND TRAINING SERVICES</td>
</tr>
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<td></td>
<td></td>
</tr>
<tr>
<td>o.</td>
<td>RECREATION</td>
</tr>
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<td></td>
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<tr>
<td>p.</td>
<td>FAMILY PLANNING</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>q.</td>
<td>INDEPENDENT LIVING SKILLS</td>
</tr>
<tr>
<td>r.</td>
<td>LEGAL SERVICES</td>
</tr>
<tr>
<td>s.</td>
<td>DAY TREATMENT</td>
</tr>
<tr>
<td>t.</td>
<td>DAY CARE SERVICES</td>
</tr>
<tr>
<td>u.</td>
<td>RESpite CARE</td>
</tr>
<tr>
<td>v.</td>
<td>OTHER (SPECIFY)</td>
</tr>
</tbody>
</table>

**INSTRUCTIONS OVERVIEW:** (ASK ACROSS FOR EACH ITEM CIRCLED YES IN A.)
A. & B. IF YES IN A ASK B; IF NO GO TO NEXT ITEM.
K-1b. ASK K-1b FOR EACH YES IN B.
C. IF C IS CIRCLED GO TO NEXT ITEM CIRCLED YES IN B.
D. & E. IF D OR E ARE CIRCLED ASK G.
F. IF F IS CIRCLED GO TO NEXT ITEM CIRCLED YES IN B.
G. CIRCLE YES OR NO AND GO TO NEXT ITEM CIRCLED YES IN B.

K-2a. Please take a few moments to look at Card K-2. From (START DATE) to (END DATE), which of these * services were offered to the primary caretaker?

K-2b. Who provided this service directly to the primary caretaker?
Was it (READ C, D, AND E)?

<table>
<thead>
<tr>
<th>A.</th>
<th>B.</th>
<th>C.</th>
<th>D.</th>
<th>E.</th>
<th>F.</th>
<th>G.</th>
<th>Other</th>
<th>Child</th>
<th>Public</th>
<th>Private</th>
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<td></td>
<td></td>
<td></td>
<td>Agency</td>
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(CIRCLE ALL MENTIONED. PROBE: Any other services?)
INFO
IN
CASE
(CIRCLE ALL MENTIONED)

<table>
<thead>
<tr>
<th></th>
<th>YES</th>
<th>NO</th>
</tr>
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<tbody>
<tr>
<td>a. PARENT TRAINING (IN HOME)</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>b. PARENT TRAINING (CLASSES)</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>c. HOUSEHOLD MANAGEMENT</td>
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<td>d. HOMEMAKER SERVICES</td>
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<tr>
<td>e. DAY CARE</td>
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<td>f. RESPITE CARE</td>
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<tr>
<td>g. EMERGENCY FINANCIAL AID (SPECIFY PURPOSE)</td>
<td>1</td>
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<td>h. FAMILY PLANNING</td>
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<td>i. LEGAL SERVICES</td>
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<tr>
<td>j. SCHOOLING</td>
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<td>2</td>
</tr>
<tr>
<td>k. EMPLOYMENT TRAINING</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>l. HEALTH CARE</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>m. PSYCHOLOGICAL ASSESSMENT</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Item</td>
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<td>Code</td>
</tr>
<tr>
<td>------</td>
<td>-----------------------------------------------------------------------------</td>
<td>------</td>
</tr>
<tr>
<td>n.</td>
<td>MENTAL HEALTH TREATMENT OR COUNSELING-OUTPATIENT</td>
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<td>2</td>
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<td>MENTAL HEALTH TREATMENT-INPATIENT</td>
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<td>2</td>
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<td>p.</td>
<td>CASE MGMT./COUNSELING (OTHER THAN BY WORKER OR MENTAL HEALTH PROFESSIONAL)</td>
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<td>SELF HELP GROUPS</td>
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<td>HOUSING</td>
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<td>TEMPORARY SHELTER</td>
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<td>TRANSPORTATION</td>
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<td>OTHER (SPECIFY) _______</td>
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INSTRUCTIONS OVERVIEW: (ASK ACROSS FOR EACH ITEM CIRCLED YES IN A.)

A. & B. IF YES IN A ASK B; IF NO GO TO NEXT ITEM.

K-2b. ASK K-2b FOR EACH YES IN B.

C. IF C IS CIRCLED GO TO NEXT ITEM CIRCLED YES IN B.

D. & E. IF D OR E ARE CIRCLED ASK G.

F. IF F IS CIRCLED GO TO NEXT ITEM CIRCLED YES IN B.

G. CIRCLE YES OR NO AND GO TO NEXT ITEM CIRCLED YES IN B

K-3. Were there any service agreements or formal plans with any other adults who cared for or related to the primary child?
YES 1 (a & b)

NO 2 (K-4)

a. With whom? (PROBE: Anyone else?)

RELATIONSHIP TO PRIMARY CHILD

RELATIONSHIP TO PRIMARY CHILD

RELATIONSHIP TO PRIMARY CHILD

b. What services were provided? (PROBE: What else?)

K-4. Please look at Card K-4. Besides services provided by various agencies, were these services provided by you or other caseworkers in your agency to the primary child and members of the household of origin between (START DATE) and (END DATE)? (CIRCLE ALL MENTIONED)

* a. COUNSELING THE PRIMARY CARETAKER 01

b. COUNSELING THE PRIMARY CHILD 02

c. COUNSELING THE OTHER HOUSEHOLD MEMBERS 03
d. ADVOCACY WITH:

(1) PUBLIC ASSISTANCE, FOOD STAMPS,  
OR MEDICAID 04

(2) THE HOUSING AGENCY OR LANDLORD 05

(3) THE SCHOOL SYSTEM 06

(4) THE JUVENILE JUSTICE SYSTEM 07

(5) HEALTH PROVIDERS 08

(6) ADVOCACY WITH ANY OTHER AGENCY OR  
SYSTEM. WHAT KIND? (SPECIFY) 09

e. REFERRAL TO OTHER SERVICES 10

f. INVESTIGATION OF ABUSE OR NEGLECT 11

g. MONITORING THE PRIMARY CHILD'S SAFETY  
AND WELL-BEING 12

h. COORDINATION WITH OTHER SERVICE PROVIDERS,  
SUCH AS . . .

(1) INTERAGENCY STAFFINGS 13

(2) TELEPHONE DISCUSSIONS 14

(3) RECEIPT AND REVIEW OF PROGRESS REPORTS 15

i. PROVIDE FLEX DOLLARS (PETTY CASH) 16  
(SPECIFY PURPOSE)

j. PROVIDE SERVICES TO FOSTER PARENTS TO HELP  
PRIMARY CHILD (SPECIFY) 17

k. ESCORTING CLIENT TO OTHER AGENCIES OR SERVICE  
PROVIDERS 18
SECTION L. OUT-OF-HOME PLACEMENT

The next questions are about formal and informal living arrangements.

L-1. First about informal living arrangements. Was there any time between (START DATE) and (END DATE) when the primary child lived with relatives, friends, or neighbors for reasons such as the primary caretaker being unable or unwilling to care for the child?

YES 1

NO 2

BOX 13

REFER TO WORKSHEET

PRIMARY CHILD HAD AT LEAST ONE FOSTER CARE EPISODE 1 (L-2)

PRIMARY CHILD HAD NO FOSTER CARE EPISODES 2 (SECTION M)

L-2. On what date was the primary child placed in agency supervised out-of-home placement most recently? [This may have been before (START DATE), but prior to February 28, 1994.]

_______/_______/___________

19

MO DAY YEAR

L-3. Prior to this placement, while the child was living at home were services ...

Offered and received, 1

Offered but not received, or 2
Not offered at all? 3

L-4. Was the child still in out-of-home placement on (END DATE)?

YES 1  (L-8)

NO 2

L-5. On what date did the most recent placement end?

_____ / _____ / ______

19
MO DAY YEAR

L-6. Please look at Card L-6. In what type of out-of-home placement setting was the child on or just before (DATE IN L-5)? (CIRCLE ONLY ONE CODE)

a. NON-RELATIVE FOSTER FAMILY HOME 01
b. LICENSED RELATIVE FOSTER HOME FAMILY 02  ó (a)
c. UNLICENSED RELATIVE FOSTER HOME FAMILY 03  ó
d. PRE-ADOPTIVE HOME 04
e. EMERGENCY SHELTER 05
f. GROUP HOME 06
g. PSYCHIATRIC INSTITUTION (HOSPITAL) 07
h. RESIDENTIAL TREATMENT FACILITY 08
i. SECURE FACILITY (DETENTION) 09
j. INDEPENDENT LIVING ARRANGEMENT 10
k. OTHER (SPECIFY TYPE) 11

(a). Was this a paid or unpaid placement?

Paid 1
Unpaid 2
L-7. Where did the child go to live after the most recent placement ended, -- to the home of parents, adoptive parents, grandparents, siblings, other relatives or persons, or to some other place?

a. Parent(s) 01 ó
   ó (b)

b. Adoptive parent(s) 02 ó

c. Grandparent(s) 03 ó
   ó

d. Sibling(s) 04 ó
   ó

e. Other relative(s) (SPECIFY RELATIONSHIP) 05 ó
   ó
   ó (a & b)
   ó

f. Unrelated person(s) (SPECIFY RELATIONSHIP) 06 ó
   ó
   ó
   ó

g. Other place (SPECIFY) 07 ó
   ó
   ó

(a) Did one or both parents also live in this (home/place)?

Yes 1
No 2

(b) Is this home the Household of Origin (that we enumerated at the beginning of the interview)?

Yes 1
No 2

L-8. In how many different foster care settings was the child between (START DATE) and (END DATE)?

|___| FOSTER CARE SETTINGS
L-9. What was the case plan goal for the child at or just prior to (END DATE)? Was it...

a. Reunification  1  
b. Placement with other relative  2  
c. Adoption,  3 (L-11)  
d. Emancipation or independent living  4 (L-12)  
e. Continued foster care, or  5 (L-13)  
f. Was there no case plan goal?  6 (L-14)  

ó  (L-10)  
ó

L-10. If the case plan goal WAS reunification OR PLACEMENT WITH OTHER RELATIVE (See L-9a or b)...

a. What is (or was) the date for achieving reunification?

_______/_______/___________  
19  
MO  DAY  YEAR  
NO DATE ESTABLISHED  00  

b. Did the child ever return home for a trial reunification visit?

Yes  1 (c)  
No  2 ó  
ó (L-15)  
DK/INFORMATION NOT IN CASE-RECORD  8 ó

c. How many trial visits?  

Number

SKIP TO QUESTION L-15.
L-11. IF THE CASE PLAN GOAL WAS ADOPTION: (See L-9c.)

a. What type of adoption (is/was) planned ...

Adoption by a relative 1

Adoption by foster parents, or 2

Adoption by someone else? 3

b. At or just before (END DATE) were efforts underway to locate an adoptive placement, was the child in a preadoptive placement, or had the child been adopted?

Locating adoptive placement 01

Child in a pre-adoptive placement 02

Child had been adopted 03

Other (SPECIFY) 04

c. Was the child informed of the goal of adoption?

Yes 1 (d)

No 2 ø

CHILD TOO YOUNG (SPECIFY AGE) 3 ø

DK/INFORMATION NOT IN CASE-RECORD 8

d. Did the child consent to the adoption?

Yes 1

No 2

CHILD TOO YOUNG (SPECIFY AGE) 3
L-12. IF THE CASE PLAN GOAL WAS EMANCIPATION/INDEPENDENT LIVING: (See L-9d.)

a. On (END DATE) was the child in an independent living arrangement?

Yes 1

No 2

b. Did the foster parents receive any training in working with the child toward achieving independence?

Yes 1

No 2

NOT WITH FOSTER PARENTS 3

DK/INFORMATION NOT IN CASE-RECORD 8

c. Had services been planned for the child after emancipation?

Yes 1

No 2

DK/INFORMATION NOT IN CASE-RECORD 8

d. Did the foster parents intend to keep in contact with the child after emancipation?

Yes 1

No 2

NOT WITH FOSTER PARENTS 3

DK/INFORMATION NOT IN CASE-RECORD 8

SKIP TO QUESTION L-15.
L-13. IF THE CASE PLAN GOAL WAS CONTINUED FOSTER CARE: (See L-9e.)

a. Please describe the reasons for continued foster care: (PROBE: What other reasons?)

b. Had legal guardianship been obtained for the child?

Yes 1
No 2
DK/INFORMATION NOT IN CASE-RECORD 8

SKIP TO QUESTION L-15.

L-14. IF NO CASE PLAN GOAL HAD BEEN ESTABLISHED: (See L-9f)

a. What was the reason that no goal has been established?

b. By what month and year do you expect a goal to be established?

___/_______
19
MO YEAR
No plan to establish a goal 00

L-15. Was a visitation plan in effect in the 3 months before (END DATE)?

Yes 1 (a&b)
No 2 ò
ò (L-16)
DK/INFORMATION NOT IN CASE-RECORD 8 ò
a. Who was to participate in the visitation plan . . .
(CIRCLE ALL THAT APPLY)
   a. Parents, 01
   b. Prospective adoptive parent(s), 02
   c. Sibling(s), 03
   d. Grandparent(s), 04
   e. Other relative(s) (SPECIFY) or 05
   f. Any unrelated person(s)? (SPECIFY) 06

b. Was the plan being generally adhered to?
   Yes 1
   No 2 (1)
   DK/INFORMATION NOT IN CASE-RECORD 8
   (1). Why is that?

L-16. (Regardless of the visitation plan), in the 3 months before (END DATE) about how often was there visitation between the child and the primary caretaker? Would you say . . .
   *
   Never 0
   1-5 times 1
   6-10 times, or 2
   More than 10 times? 3
   DK/INFORMATION NOT IN CASE-RECORD 8

L-17. a. What month and year prior to (END DATE) was the (last) court hearing?
   _______/___________
   19
   MO   YEAR
NO HEARING HELD 00 (SECTION M)

b. What was the main purpose of the (last) court hearing? Was it . . .

Periodic review 1
A dispositional hearing, 2
An administrative review, or 3
Termination of parental rights? 4
OTHER (SPECIFY) 5

c. What was the outcome of the (last) hearing? Was there . . .

A change in the case plan goal, 1
A change in the service plan, 2
Were there no changes, or 3
Was the case plan goal achieved? 4
OTHER (SPECIFY) 5

SECTION M IS LOCATED IN N:\9542\954201\TELEPHON\TEL-LAN.DOC
/****/N:\9542\954201\TELEPHON\TELINQUX.DOC - eya ~HPD-MATH

SECTION N. STATUS OF THE CASE

The next questions are about the status of the case from March 1st to August 31st.

BOX 14

REFER TO WORKSHEET

THIS CASE WAS OPEN AT END OF REFERENCE PERIOD 1 (N-2)
THIS CASE WAS CLOSED AT END OF REFERENCE PERIOD 2 (N-1)

N-1. a. You told me earlier that this case has closed. Why did this case close?
b. In your judgement, had the objectives been met by the time the case closed?

Yes 1
No 2

N-2. On August 31st, 1994, was this case still open?

Yes 1 (N-3)
No 2 (a & b)

a. On what date did the case close?

_____/_____/___________  ó

19
MO DAY YEAR  ó ENTER ON

b. On or about what date was the decision made to close the case?

_____/_____/___________

19
MO DAY YEAR

N-3. (Is/Was) this case open only for the primary child, only for other children in the household, or for both?

Primary child only  1  ó
Other children only  2  ó ENTER ON
Both  3  ó WORKSHEET
N-4. Which units of your agency had responsibility for this case (most recently)?
(ENTER UP TO 3)

NAME OF UNIT  NAME OF UNIT  NAME OF UNIT

SECTION O. PROBLEMS - FOLLOWUP

The next questions are about events in this case between March 1st and (August 31st, 1994/
DATE CASE CLOSED).

O-1. During that time, which were the most serious problems for which (PRIMARY
CHILD/ AND PRIMARY CARETAKER) were receiving services?
(PROBE: What other problems?)

Problems - Primary Child * Problems - Primary Caretaker

1. 1.
2. 2.
3. 3.

O-2. Which of these problems were new problems since March 1st?
(ASK FOR EACH PERSON WITH PROBLEMS AND CIRCLE ALL THAT
APPLY.)

Primary * Primary
child caretaker

(BOTH NONE,
None, (all) old problem(s) 00 00 GO TO
SECTION P)

Problem #1 01 01
Problem #2 02 03
Problem #3

O-3. How did (this/these) new problem(s) come to your attention? Please describe:

Primary Child:

* Primary Caretaker:

SECTION P. CASE PLANS

The next questions are about services to the household from March 1st to (August 31st, 1994/DATE CASE CLOSED).

BOX 15

REFER TO WORKSHEET

CASE OPEN FOR CHILD ONLY 1 (P-2)

CASE OPEN FOR OTHER CHILDREN ONLY 2 (P-1)

CASE OPEN FOR BOTH 3 (P-2)

P-1. a. What is/are the reason(s) the case closed for (PRIMARY CHILD)?

(PROBE: What else?)

b. In your opinion, had the specific objectives been met by the time the case closed?

Yes 1 ó
P-2. What were the service plan objectives on March 1st? Would you please describe them.

P-3. Had the service plan objectives changed between March 1st and (August 31st, 1994/DATE CASE CLOSED)?

Yes 1 (a)
No 2 (P-4)

a. In what ways did they change?

P-4. Did you or another caseworker discuss the service plan with the child?

Yes 1 (a)
No 2 
TOO YOUNG 3 
SPECIFY AGE 

a. Does s/he agree with the plan?

Yes 1
No 2 

P-5. How consistent is the child's behavior with the plan? Is it ...

Very consistent 1
Somewhat consistent 2
Somewhat inconsistent, or 3
Very inconsistent? 4
NO PLANS FOR THE CHILD 5

P-6. Between March 1st and (August 31st, 1994/DATE CASE CLOSED), did you or another *
caseworker discuss the service plan with the primary caretaker?

Yes 1
No 2

P-7. Did the primary caretaker ...
* a. ... know what the specific service plan objectives are?

Yes 1
No 2 (P-8)

b. ... participate in establishing the objectives?

Yes 1
No 2

c. ... agree with the objectives?

Yes 1
No 2

P-8. Please look at Card P-8. Which of these statements best describes the primary caretaker's *
progress toward meeting the service plan objectives?

a. S/HE DOES NOT RECOGNIZE THE PROBLEM 01

b. S/HE RECOGNIZES THE PROBLEM BUT DOES NOT BELIEVE (S/HE) HAS A ROLE IN RESOLVING THE PROBLEM 02

c. S/HE RECOGNIZES OWN ROLE BUT HAS TAKEN NO
ACTION TO RESOLVE THE PROBLEM

d. S/HE HAS TAKEN SOME ACTIONS, BUT PROGRESS IS UNSTEADY

e. S/HE IS MAKING STEADY PROGRESS TOWARD MEETING THE SERVICE PLAN OBJECTIVES

f. OTHER (SPECIFY)

P-9. What would be an example of the primary caretaker's behavior towards meeting the service plan objectives?

P-10. a. Is there a target date by when the specific service plan objectives are to be met?

Yes 1 (b)
No 2

b. What is that target date?

_______/_______/___________
19 MO DAY YEAR

P-11. From March 1st to (August 31st/DATA CASE CLOSED), have any of the household members enrolled in the following family-based programs?

A B C
PROGRAMS ENROLLED How many weeks, months, home
or years were they based; office based;
in the program? or both?
- P-12. Between March 1st and (August 31st, 1994/DATE CASE CLOSED), what were the three main services provided to the primary child by someone other than you yourself or another caseworker?

1.

2.
3.

P-13. Which of these were new services since March 1st? (CIRCLE ALL THAT APPLY)

None, (all) "old" service(s) 00 (P-14)
Service #1 01
Service #2 02
Service #3 03

P-14. Is there any assistance the child needed, that could not be provided?

Yes 1 (a)
No 2 (P-16)

a. What assistance was that?

P-15. Please look at Card P-15. What is the main reason the agency was unable to provide certain services?

a. NEEDED SERVICE IS NOT AVAILABLE IN THIS AREA 1
b. CHILD IS WAITLISTED FOR SERVICES 2
c. CHILD IS INELIGIBLE FOR SERVICES 3
d. SERVICES ARE TOO COSTLY 4
e. AGENCY RESPONSIBLE FOR PROVIDING SERVICE REFUSED 5
f. ANY OTHER REASON? (SPECIFY) 6

P-16. Between March 1st and (August 31st, 1994/DATE CASE CLOSED), what were the three main
services provided to the primary caretaker by someone other than you yourself or another caseworker?

SERVICES
1.
2.
3.
ó
ó
ó
ó a
ó
ó
ó

a. Which of these were new services since March 1st? (CIRCLE ALL THAT APPLY)

None, (all "old" service(s) 00 (P-17)
Service #1 01
Service #2 02
Service #3 03

P-17. Is there any assistance the primary caretaker needed, that could not be provided? *

Yes 1 (a)
No 2 (P-19)

a. What assistance is that?

P-18. Please look at Card P-18. What is the main reason the agency was unable to provide certain services?
P-19. Please look at Card K-4 again. Besides services provided by various agencies, have you or another caseworker in your agency provided any of these services to any members of the household between March 1st and (August 31st/DATE CASE CLOSED)?

(CIRCLE ALL MENTIONED)

*  

a. COUNSELING THE PRIMARY CARETAKER 01  
b. COUNSELING THE PRIMARY CHILD 02  
c. COUNSELING THE OTHER HOUSEHOLD MEMBERS 03  
d. ADVOCACY WITH:
   
   (1) PUBLIC ASSISTANCE, FOOD STAMPS, OR MEDICAID 04  
   (2) THE HOUSING AGENCY OR LANDLORD 05  
   (3) THE SCHOOL SYSTEM 06  
   (4) THE JUVENILE JUSTICE SYSTEM 07  
   (5) HEALTH PROVIDERS 08
(6) ADVOCACY WITH ANY OTHER AGENCY OR SYSTEM. WHAT KIND? (SPECIFY) 09

e. REFERRAL TO OTHER SERVICES 10
f. INVESTIGATION OF ABUSE OR NEGLECT 11
g. MONITORING THE PRIMARY CHILD'S SAFETY AND WELL-BEING 12
h. COORDINATION WITH OTHER SERVICE PROVIDERS, SUCH AS . . .
   (1) INTERAGENCY STAFFINGS 13
   (2) TELEPHONE DISCUSSIONS 14
   (3) RECEIPT AND REVIEW OF PROGRESS REPORTS 15
i. PROVIDE FLEX DOLLARS (PETTY CASH) (SPECIFY PURPOSE) 16
j. PROVIDE SERVICES TO FOSTER PARENTS TO HELP PRIMARY CHILD (SPECIFY) 17

k. ESCORTING CLIENT TO OTHER AGENCIES OR SERVICE PROVIDERS 18
l. ANY OTHER SERVICES (SPECIFY) 19

P-20. Please look at Card P-20. Did you discuss any of these topics with the primary caretaker between March 1st and (August 31st/DATE CASE CLOSED)? Which ones? Any others?
   (CIRCLE ALL MENTIONED)

a. NONE 00
b. INFANT CARE 01
c. SUPERVISION OF YOUNG CHILDREN 02
d. DISCIPLINE OF CHILDREN 03
e. SUPERVISION OF ADOLESCENTS 04
f. MONEY MANAGEMENT 05
g. HOUSEHOLD MANAGEMENT 06
h. NUTRITION OR MEAL PREPARATION 07
i. HEALTH CARE OF CHILDREN 08
j. CHILDREN'S SCHOOLING (ATTENDANCE, GRADES) 09
k. CHILDREN'S BEHAVIOR PROBLEMS 10
l. MARITAL OR SIGNIFICANT OTHER RELATIONSHIPS 11
m. RELATIONSHIPS WITH OTHER SIGNIFICANT ADULTS 12
n. LOCATING OR MAINTAINING SOCIAL SUPPORT STRUCTURE 13
o. UTILIZING COMMUNITY RESOURCES 14
p. HOUSING 15
q. EMPLOYMENT 16
r. DRUG USE 17
s. OWN HEALTH 18
t. PROGRESS IN COUNSELING 19
u. OTHER (SPECIFY) 20

P-21. Please look at Card P-21. Between March 1st and (August 31st/DATE CASE CLOSED), did you talk with the child about any of these topics? (PROBE: Any others?)

(CIRCLE ALL MENTIONED)

a. NONE/CHILD TOO YOUNG 00
b. OCCURRENCE OR RECURRENCE OF ABUSE 01
c. FEELINGS ABOUT PRIOR ABUSE 02
d. FEELINGS ABOUT THE PRIMARY CARETAKER 03
e. BEHAVIOR TOWARD THE PRIMARY CARETAKER 04
f. BEHAVIOR TOWARD OTHER CHILDREN 05
g. SCHOOL ATTENDANCE 06
h. PROBLEMS IN SCHOOLWORK 07
i. BEHAVIOR IN SCHOOL 08
j. SUBSTANCE ABUSE 09
k. FAMILY PLANNING 10
l. RUNNING AWAY 11
m. DELINQUENT BEHAVIOR 12
n. PROBLEMS, FEARS, WORRIES, ETC. 13
o. PLANS FOR ACHIEVING INDEPENDENCE 14
p. PLANS FOR REUNIFICATION 15
q. PLANS FOR ADOPTIVE PLACEMENT 16
P-22. About how many times from March 1st to (August 31st, 1994/DATE CASE CLOSED) did you or another case worker....

* a. Visit the primary caretaker and the child together? ___ ___ TIMES

* b. Visit the primary caretaker alone? ___ ___ TIMES

c. Visit the primary child alone? ___ ___ TIMES

P-23. During that time, how many times did you or another caseworker schedule an appointment to see *(the primary caretaker or) the child, but *(the primary caretaker or) the child did not keep the appointment?

___ ___ TIMES APPOINTMENT NOT KEPT

P-24. Was there ever a time when you yourself were unable to keep an appointment?

YES 1
NO 2

P-25. Have you or another case worker been involved in any of the following between March 1st and (August 31st/DATE CASE CLOSED) regarding this case?

(READ ITEMS AND CIRCLE YES OR NO)

Yes No

a. Court actions 1 2
b. Administrative hearings 1 2
c. Meetings with your supervisor 1 2
d. Meetings with other service providers 1 2

P-26.
*a. How many caseworkers, including yourself, did the primary caretaker have between March 1st and (August 31st/DATE CASE CLOSED)? Is it ... b. How many caseworkers, including yourself, did the primary child have? Is it ...  

<table>
<thead>
<tr>
<th>a. Primary Caretaker</th>
<th>b. Primary Child</th>
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<tbody>
<tr>
<td>One</td>
<td>1</td>
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<tr>
<td>Two</td>
<td>2</td>
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<tr>
<td>Three</td>
<td>3</td>
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<tr>
<td>Four, or</td>
<td>4</td>
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<tr>
<td>Five or more?</td>
<td>5</td>
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<tr>
<td>DK/INFORMATION NOT IN CASE RECORD</td>
<td>8</td>
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/R-1. First about informal living arrangements. Was there any time between March 1st and (August 31st, 1994/DATE CASE CLOSED), when (PRIMARY CHILD) lived with relatives, friends, or neighbors for reasons such as the primary caretaker being unable or unwilling to care for the child?  

Yes 1  
No 2  

R-2. During that time, was (PRIMARY CHILD) in an agency supervised out-of-home placement?
R-3. Was the child still in out-of-home placement on August 31st, 1994?

Yes  1  (R-12)  
No   2

R-4. On what date did the most recent placement episode end?

______/______/__________
19  
MO  DAY  YEAR

R-5. Please look at Card L-6 again. In what type of out-of-home placement setting was the child when the (most recent) placement ended?

a. NON-RELATIVE FOSTER FAMILY HOME 01
b. LICENSED RELATIVE FOSTER HOME FAMILY 02  ó  (a)
c. UNLICENSED RELATIVE FOSTER HOME FAMILY 03  ó
(d) PRE-ADOPTIVE HOME 04
e. EMERGENCY SHELTER 05
f. GROUP HOME 06
g. PSYCHIATRIC INSTITUTION (HOSPITAL) 07
h. RESIDENTIAL TREATMENT FACILITY 08
i. SECURE FACILITY (DETENTION) 09
j. INDEPENDENT LIVING ARRANGEMENT 10
k. OTHER (SPECIFY TYPE) 11

(a) Was this a paid or unpaid placement?

Paid  1
Unpaid  2

R-6. Where did the child go to live after the (most recent) placement ended, -- to the home of parents, adoptive parents, grandparents, siblings, or other relatives or persons, or to some other place?
a. Parent(s) 01 ó
 ó (b)

b. Adoptive parents 02 ó

c. Grandparent(s) 03 ó

d. Sibling(s) 04 ó

e. Other relative(s) (SPECIFY RELATIONSHIP) 05 ó
 ó (a & b)

f. Unrelated person(s) (SPECIFY RELATIONSHIP) 06 ó

g. Other place (SPECIFY) 07 ó

(a) Did one or both parents also live in this (home/place)?

Yes 1
No 2

(b) Is this home the Household of Origin (we enumerated at the beginning of the interview)?

Yes 1
No 2

SKIP TO R-24

R-7. Between March 1st and (August 31st/DATE CASE CLOSED), was foster care placement ever recommended for this child, but not authorized?

Yes 1
R-8. Who did not authorize placement? Was it . . .(CIRCLE ALL THAT APPLY)

a. Your supervisor 01
b. The child welfare agency administration, or 02
c. The courts? 03
d. Other (SPECIFY) 04


a. THE PROBLEM WAS NOT SEVERE ENOUGH TO WARRANT PLACEMENT 01
b. BELIEVED PREVENTIVE SERVICES WOULD AVOID THE NEED FOR PLACEMENT 02
c. DID NOT BELIEVE COURT WOULD APPROVE PLACEMENT 03
d. APPROPRIATE PLACEMENT TOO COSTLY 04
e. APPROPRIATE PLACEMENT NOT AVAILABLE 05
f. OTHER (SPECIFY) 06

R-10. Did you ever consider but not recommend placement?

Yes 1

No 2 (SECTION S)


a. DID NOT BELIEVE PROBLEM WAS SEVERE ENOUGH 01
b. DID NOT BELIEVE SUPERVISOR WOULD APPROVE 02
c. DID NOT BELIEVE AGENCY WOULD APPROVE 03
d. DID NOT BELIEVE COURT WOULD APPROVE 04
e. CHILD VERY RESISTANT TO PLACEMENT 05
f. CARETAKER VERY RESISTANT TO PLACEMENT 06
(SECTION S)
g. CARETAKER AGREED TO SERVICE PLAN 07
h. COULD NOT LOCATE APPROPRIATE FOSTER
   CARE PLACEMENT 08
i. WAS CONCERNED ABOUT QUALITY OF
   FOSTER CARE PLACEMENT 09
j. BELIEVED PREVENTIVE SERVICES COULD
   ADDRESS THE PROBLEM 10
k. OTHER (SPECIFY) 11

R-12. Please look at Card L-6 again. In what type of out-of-home placement setting was
the child residing on or about August 31st, 1994?

a. NON-RELATIVE FOSTER FAMILY HOME 01
b. LICENSED RELATIVE FOSTER HOME FAMILY 02 ó
   ó (a)
c. UNLICENSED RELATIVE FOSTER HOME FAMILY 03 ó

d. PRE-ADOPTIVE HOME 04

e. EMERGENCY SHELTER 05

f. GROUP HOME 06
g. PSYCHIATRIC INSTITUTION (HOSPITAL) 07  
h. RESIDENTIAL TREATMENT FACILITY 08  
i. SECURE FACILITY (DETENTION) 09  
j. INDEPENDENT LIVING ARRANGEMENT 10  
k. OTHER (SPECIFY TYPE) 11

(a) Was this a paid or unpaid placement?

Paid 1  
Unpaid 2

R-13. In the most recent episode, how many days, months, or years (had/has) the child been in out-of-home placement?

|   |   | DAYS  
|---|---|---
|   |   | MONTHS  
|---|---|---
|   |   | YEARS

R-14. Do you think it would have been possible to prevent placing this child in out-of-home placement?

YES 1  
NO 2  

a. Why is that?

R-15. Do you think the child was in an appropriate placement setting on August 31st, 1994?
YES 1  (R-16)
NO  2  (a)

a. Please look at Card R-15. Why do you think the placement setting was inappropriate?  (CIRCLE ALL THAT APPLY)

a. LESS RESTRICTIVE SETTING IS NEEDED 01
b. TOO FAR FROM FAMILY 02
c. MORE SUPERVISION REQUIRED 03
d. MORE TREATMENT/Therapy REQUIRED 04
e. CURRENT SETTING IS SHORT-TERM; LONG-TERM PLACEMENT SETTING OR ADOPTIVE HOME NEEDED 05
f. SETTING IS INAPPROPRIATE TO CHILD'S AGE 06
g. CHILD IS IN TRANSRACIAL PLACEMENT SETTING 07
h. OTHER (SPECIFY) 08

R-16. As of August 31st, what was the case plan goal? Was it ...

a. Reunification 01 ò (R-17)
b. Placement with other relative 02 ò
c. Adoption 03 (R-18)
d. Emancipation or independent living 04 (R-19)
e. Continued foster care, or 05 (R-20)
f. Had no goal been established? 06 (R-21)

R-17. IF THE CASE PLAN GOAL IS REUNIFICATION OR PLACEMENT WITH OTHER RELATIVE (SEE R-16 a & b).

a. What is the anticipated date for (reunification/placement with other relative)?

_____ / _____ / 19 _____
MO  DAY  YEAR
NO DATE ESTABLISHED  00

b. Has the child been returned home on a trial basis?
(1) How many times?

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th>(d)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NUMBER</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

c. Are there plans for trial visits?

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>1</td>
</tr>
<tr>
<td>No</td>
<td>2</td>
</tr>
</tbody>
</table>

d. Is placement with another relative planned?

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>1</td>
</tr>
<tr>
<td>No</td>
<td>2</td>
</tr>
</tbody>
</table>

(1) What is that person's relationship to the child?

<table>
<thead>
<tr>
<th>RELATIONSHIP</th>
</tr>
</thead>
</table>
| (2) Has the child lived with this relative at any time in the past?

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>1</td>
</tr>
<tr>
<td>No</td>
<td>2</td>
</tr>
</tbody>
</table>

(3) How well does the child know this relative? Would you say ...

| Very well | 1 |
| Somewhat, or | 2 |
| Not very well? | 3 |

SKIP TO R-22

R-18. IF THE CASE PLAN GOAL IS ADOPTION (SEE R-16c) ...

a. What type of adoption is currently planned ...

Adoption by a relative | 1 |
Adoption by a foster parent, or Adoption by someone else?  

b. Between March 1st and August 31st were efforts underway to locate an adoptive placement, was the child in a preadoptive placement, or had the child been adopted?

Locating adoptive placement  
Child in a preadoptive placement  
Child had been adopted (d)  
Other (Specify)  

Has the child been informed of the goal of adoption?

Yes  
No  
CHILD TOO YOUNG (SPECIFY AGE ___)  

Did the child consent to the adoption?

Yes  
No  
Child too young (SPECIFY AGE ___)  

a. At any time between March 1st and August 31st, 1994, was the child in an independent living arrangement?

Yes  
No  

b. Had the foster parents received any training on working with the child toward achieving independence?

Yes
c. Were services planned for the child after emancipation?

Yes 1
No 2

d. Did the foster parents intend to keep in contact with the child after emancipation?

Yes 1
No 2
NOT WITH FOSTER PARENTS 3
DON'T KNOW/NOT SURE 8

SKIP TO R-22
R-20. IF THE CASE PLAN GOAL IS CONTINUED FOSTER CARE (SEE R-16e)...

a. Please describe the reasons for continued foster care:
   (PROBE: What other reasons?)

b. Has legal guardianship been obtained for the child?

Yes 1
No 2
DK/INFORMATION
NOT IN CASE RECORD 8

SKIP TO R-22

R-21. IF NO CASE PLAN GOAL HAS BEEN ESTABLISHED (SEE R-16f)
a. What was the reason that no goal has been established?

b. By what month and year do you expect a goal to be established?

_____ / _____ / 19 _____

MO    DAY    YEAR

No plan to establish a goal 00

c. What goal do you think should be set for the child? Should it be...

a. Reunification or placement with a relative 01

b. Permanent foster care 02

c. Adoption, or 03

d. Emancipation/Independent living? 04

e. OTHER (SPECIFY) 05
R-22. On August 31st was the case plan goal for the child, the goal you think s/he should have?

YES 1  (R-23)
NO  2  (a)

a. Why is that?

R-23. In how many months or years from now is the case plan goal expected to be achieved, would you say ...

a. Less than one month, 0
b. One to six months, 1
c. Seven months to one year, 2
d. 13 months to two years, or 3
e. Over two years? 4

R-24. Was a visitation plan in effect between March 1st and (August 31st, 1994/DATE CASE CLOSED)?

Yes 1 (a&b)
No 2 ð
DK/INFORMATION NOT IN ð (R-25)
CASE-RECORD 8 ð

a. Who was to participate in the visitation plan ...
(CIRCLE ALL THAT APPLY)

a. Parents, 01
b. Prospective adoptive parent(s), 02
c. Sibling(s), 03
d. Grandparent(s), 04

e. Other relative(s) (SPECIFY), or 05

f. Any unrelated person(s)?
   (SPECIFY) 06

b. Was the plan being generally adhered to?

   Yes 1
   No 2 (1)

DK/INFORMATION NOT IN
   CASE RECORD 8

   (1) Why is that?

R-25. (Regardless of the visitation plan), about how often was there visitation between
   the child and the
   * primary caretaker?

   Never 0
   1-5 times 1
   6-10 times 2
   More than 10 times 3

DK/INFORMATION NOT IN
   CASE RECORD 8

R-26. Altogether, how many separate court hearings were held for this child between
   March 1st and (August 31st/DATE CASE CLOSED)?

   [ ] [ ] [ ]
   NUMBER OF HEARINGS
a. What was the month and year of the (last) hearing?

___/___________
19
MO YEAR

b. What was the main purpose of the (last) hearing? Was it...

a. Periodic review 1
b. A dispositional hearing 2
c. Administrative review or 3
d. Termination of parental rights? 4
e. Other (SPECIFY) 5

c. What was the outcome of the (last) hearing? Was there...

a. A change in the case plan goal 01
b. A change in the service plan 02
c. Were there no changes, or 03
d. Was the case plan goal achieved? 04
e. Other (SPECIFY) 05

I just have a few more questions.

S-1. (Is/was) the primary child Title IVE eligible or ineligible?

Eligible 1

Ineligible 2

ELIGIBILITY NOT ESTABLISHED 3 (a)

a. Is eligibility pending?
BIRTH MOTHER

BIOLOGICAL FATHER

In regard to parental rights ...

BOX 16
REFER TO WORKSHEET
PATERNITY KNOWN 1 (S-5)
PATERNITY UNKNOWN 2 (S-8)

S-2 Have parental rights ever been terminated for the birth mother?

Yes 1 (a)
No 2 (S-3)

(a) In what month and year were the mother’s parental rights terminated?

_______/_______ (BOX 16)
MO YR

S-3 Was termination of parental rights ever sought for the birth mother?

Yes 1 (S-4)
No 2 (BOX 16)

S-4 Through what procedure was termination of parental rights sought, voluntary, or court-ordered?
S-5  Have parental rights ever been terminated for the biological father?

<table>
<thead>
<tr>
<th>Yes</th>
<th>1 (a)</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>2 (S-6)</td>
</tr>
</tbody>
</table>

(a)  In what month and year were the father's parental rights terminated?

_______ / _______ (S-8)

MO  YR

S-6  Was termination of parental rights ever sought for the biological father?

<table>
<thead>
<tr>
<th>Yes</th>
<th>1 (S-7)</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>2 (S-8)</td>
</tr>
</tbody>
</table>

S-7  Through what procedure was termination of parental rights sought, voluntary or court-ordered?

<table>
<thead>
<tr>
<th>Voluntary</th>
<th>1 ô</th>
</tr>
</thead>
<tbody>
<tr>
<td>Court-ordered</td>
<td>2 ô</td>
</tr>
</tbody>
</table>

S-8.  Have you ever recommended termination of parental rights but had the request turned down?

<table>
<thead>
<tr>
<th>YES</th>
<th>1 (a)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NO</td>
<td>2 (S-9)</td>
</tr>
</tbody>
</table>

a.  Who turned the request down? Was it...
   (CIRCLE ALL THAT APPLY)

a.  Your supervisor 01
b. Agency management 02
c. The court, or 03
d. Agency legal staff? 04
e. OTHER (SPECIFY) 05

And finally just a few questions about yourself.

S-9. What is the highest year of schooling you have completed?

High school diploma or GED 01
Some college 02
Junior/Community College Degree or Diploma 03
(4 yr) College Degree 04
Some Graduate School 05
Graduate Degree (SPECIFY) 06
Other (SPECIFY) 07

S-10. How many months or years have you been a caseworker?

|   |   | MONTHS

ýýýýýýÝOR

|   |   | YEARS

S-11. Do you speak any other languages beside English?

Yes 1 (a)
No 2
OTHER (SPECIFY LANGUAGE) 3

a. What languages do you speak?
SECTION T. CLOSING STATEMENT

This concludes the interview. Thank you very much for your time and help.
(CIRCLE "1" OR "2")

ADDITIONAL CASE(S) TO BE COMPLETED 1 (T-1)

(REVIEW CASEWORKER LOG. IF ADDITIONAL CASES IN SAMPLE, MAKE APPOINTMENT FOR NEXT INTERVIEW NOW)

T-1. I notice that you have (NUMBER) more case(s) that are in our sample. When would be a convenient time for us to call you about the next case, which is CASE # _____)?

(identify case # on caseworker log)

Date: ________ / ______/ ______
MO DA YR

Time: |__|__|:|__|__| AM PM

THIS IS LAST/ONLY CASE FOR THIS CASEWORKER 2

yyyyyyyydate interview completed interviewer signature

INTERVIEWER COMMENT

How informed was the caseworker about this case?
Very informed; able to answer most questions from personal knowledge; very little checking of the case-record

Somewhat informed; able to answer about half the questions from personal knowledge of the case; some checking of the case-record

Somewhat uninformed; able to answer about a quarter of the questions from personal knowledge of the case; a good deal of checking of the case-record

Very uninformed; able to answer no questions or only a few from personal knowledge; a great deal of checking of the case-record

CASE RECORD LOST/UNAVAILABLE (a)

a. PLEASE EXPLAIN:

COMMENTs

WORKSHEET
CIRCLE 1 OR 2

A. BOX 1.

THIS CASE WAS OPEN AT END OF REFERENCE PERIOD

START DATE TO END DATE

_ _ _ - _ _ _ - 19 _ _ _ 02/28/1994
(A-3)
MO DA YR

OR

B. BOX 2.

THIS CASE WAS CLOSED AT END OF REFERENCE PERIOD
START DATE TO END DATE

_ _ _- _ _-19 _ _ _
(A-4)
MO DA YR MO DA YR

_ B-1 or B-3 PRIMARY CHILD'S FIRST NAME:

_ C-1c PRIMARY CARETAKER'S FIRST NAME:

_ E-1 BIRTH MOTHER LIVING

YES 1
NO 2

_ F-1 CHILD'S PATERNITY KNOWN

YES 1
NO 2

_ F-2 BIOLOGICAL FATHER LIVING

YES 1
NO 2

_ I-11 NUMBER OF FOSTER CARE EPISODES _ _ _

_ N-2a DATE CASE CLOSED _ _ / _ _ / _ _
MO DA YR

_ N-3 CASE OPEN FOR CHILD ONLY 1
CASE OPEN FOR OTHER CHILDREN ONLY 2
CASE OPEN FOR BOTH
APPENDIX B

Codebook Information

(NOT AVAILABLE ELECTRONICALLY)

Notes:

This Codebook includes coding information for the datasets PUBLIC, PUBLICR2 and PUBLICR4.

Not all question names correspond to variables on a one to one basis. For example, STATE, COUNTY, ID01, and CHDIG together make up the variable TRCID.

Not all question names correspond to variables. For example the question names: FILL1, REC01 and SUBREC01 do not correspond to any variables in the datasets.
APPENDIX C

Description of Derived Variables
DERIVED VARIABLES ADDENDUM

Introductory Notes

This addendum is provided to explain the code used to derive variables for the Final Report of the National Study of Protective, Preventive, and Reunification Services Delivered to Children and Their Families. The following notes are needed to interpret derived variable codes:

A date (or year) is considered valid when the day, month, and year were dates that fell within the study time frame and when the day, month, and year were not answered as unknown or not ascertained.

Age for all household members at the time of the study was established from C1E. If C1E was missing, age was ascertained by using the birthdate (C1DMO and C1DDAY and C1DYR). If C1DMO and/or C1DAY were missing but C1DYR was a valid year, then C1DMO = 6 and/or C1DAY = 15. Then, the birthdate was subtracted from 03/01/94 for the main study and from 10/01/93 for the pilot study.

For variables A1 date (A1MO and A1DAY and A1YR) and L2 date (L2MO and L2DAY and L2YR) and L5 date (L5MO and L5DAY and L5YR), if the month (MO) or day (DAY) were missing or 98 or 99, but the year (YR) was valid, then month = 06 and day = 15.

-----------------------------------------------------------------------------------------------------------------------------

DERIVED VARIABLES

<table>
<thead>
<tr>
<th>Derived Variable</th>
<th>Label/Values/Derivation</th>
</tr>
</thead>
<tbody>
<tr>
<td>AFATHFL</td>
<td>Adoptive Fathers in Household of Origin Including Primary Caretakers</td>
</tr>
<tr>
<td></td>
<td>* = Missing</td>
</tr>
<tr>
<td></td>
<td>0 = None</td>
</tr>
<tr>
<td></td>
<td>1 = Yes, in household</td>
</tr>
<tr>
<td></td>
<td>If C1C = 8 or C1CRELP = 8 then AFATHFL = 1</td>
</tr>
<tr>
<td>AGEP</td>
<td>Age of Primary Caretaker</td>
</tr>
</tbody>
</table>
AGEPCHLD

Age of Primary Child

* = Missing
0 = Less than one year of age
1-97 = Age in years
98 = Unknown
99 = Not ascertained

If C1C = 1
then AGEPCHLD = age in years

AGE_ENT

Age Entered System

* = Missing
0 = Less than one year of age
1-97 = Age in years
98 = Unknown
99 = Not ascertained

If AGECHLD not = missing
then AGE_ENT = AGEPCHLD minus LNGTHOP
AGE rehealed 0170

Age Entered Foster Care

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>*</td>
<td>Missing</td>
</tr>
<tr>
<td>0</td>
<td>Less than one year of age</td>
</tr>
<tr>
<td>1-97</td>
<td>Age in years</td>
</tr>
<tr>
<td>98</td>
<td>Unknown</td>
</tr>
<tr>
<td>99</td>
<td>Not ascertained</td>
</tr>
</tbody>
</table>

If AGEPCHILD does not = missing
then AGE_EntF = AGEPCHILD minus LNGTHCAR
Negative values were set to 0.
Edit: If AGE_EntF not = missing and INFOSTER = 2
then AGE_EntF = missing

ANDISP

Case Disposition - Open or Closed

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>'1'</td>
<td>Open</td>
</tr>
<tr>
<td>'2'</td>
<td>Closed</td>
</tr>
</tbody>
</table>

For the main study:
for all cases open 03/1/94
then ANDISP = '1'
for all cases closed as of 2/28/94
ANDISP = '2'

For the pilot study:
for all cases open 7/1/93, 10/1/93, or 1/1/93
then ANDISP = '1'
for all cases closed as of 1/1/92, 7/1/92, or 10/1/92
ANDISP = '2'

B4R

Race of Primary Child - Collapsed Codes

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>*</td>
<td>Other/Missing</td>
</tr>
<tr>
<td>3</td>
<td>Black</td>
</tr>
<tr>
<td>4</td>
<td>Hispanic</td>
</tr>
<tr>
<td>5</td>
<td>White</td>
</tr>
</tbody>
</table>

If B4 = 03 then B4R = 03;
else if B4 = 04 then B4R = 04;
else if B4 = 05 then B4R = 05;
else if B4 = 1 or 2 or 6 or 8 or 99 then B4R = missing
<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
<th>Codes</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>BFATHFL</td>
<td>Birth Fathers in Household of Origin Including Primary Caretaker</td>
<td>* = Missing, 0 = None, 1 = Yes, in household</td>
<td>If C1C = 6 or C1CRELP = 6 then BFATHFL = 1</td>
</tr>
<tr>
<td>BMAGEPC</td>
<td>Birth Mother’s Age - Primary Caretaker</td>
<td>* = Missing, 14-97 = Age in years, 98 = Unknown, 99 = Not ascertained</td>
<td>If C1CRELP = 3 then BMAGPC = age in years</td>
</tr>
<tr>
<td>BMOTH</td>
<td>Birth Mother in Household of Origin - Not Primary Caretaker</td>
<td>* = Missing, 0 = None, 1 = Yes, in household</td>
<td>If C1C = 3 then BMOTH = 1</td>
</tr>
<tr>
<td>BMOTHAGE</td>
<td>Birth Mother’s Age - Not Primary Caretaker</td>
<td>* = Missing, 14-97 = Age in years, 98 = Unknown</td>
<td>If C1C = 3 then BMOTHAGE = age in years</td>
</tr>
</tbody>
</table>
BMPC Birth Mother in Household of Origin - Primary Caretaker

* = Missing
0 = None
1 = Yes, in household

If C1CRELP = 3
then BMPC = 1

BOX5NEW In Foster Care on Start Date

1 = Yes
2 = No
8 = Do not know
9 = Not ascertained

Recode of pilot variables BOX5A1 and BOX5B1 with B6 from main study to BOX5NEW

C1RELPC Primary Caretaker’s Relationship to Child

* = Inapplicable
3 = Birth mother
4 = Step-mother
5 = Adoptive mother
6 = Biological father
7 = Step-father
8 = Adoptive father
9 = Sister
13 = Adoptive sister
15 = Half brother
19 = Grandmother
20 = Grandfather
21 = Great grandmother
23 = Aunt
24 = Uncle
25 = Cousin
33 = Other relative
99 = Not ascertained

Rename of C1CREL from repeating record

CARTKPRB Number of Problems - Primary Caretaker

0 = None
1-16 = Number of problems
If J3A25 or J3A26 or J3A27 or J3A28 or J3A29 or J3A30 or J3A31 or J3A32 or J3A33 or J2A34 or J2A35 or J3A36 or J3A37 or J3A38 or J3A39 or J3A40 or J3A41 or J3A42 or J3A43 = 1
then it was counted as a caretaker problem
CARTKPRB = the total number of caretaker problems

CHILDPRB
Number of Problems - Primary Child

0 = None
1-12 = Number of problems

If J2A9 or J2A10 or J2A11 or J2A12 or J2A13 or J2A14 or J2A15 or J2A16 or J2A17 or J2A18 or J2A19 or J2A20 or J2A21 or J2A22 or J2A23 = 1
then it was counted as a primary child’s problem
CHILDPRB = total number of primary child’s problems

COHORT
Case Opened During the Reference Period

1 = Yes
2 = No

If A1 date (A1MO and A1DAY and A1YR) > 03/01/93 and A1 date < 03/01/94 and MAINSTDY = 1
then COHORT = 1;
else COHORT = 2
COHORTFC
Child in Foster Care During Reference Period Extended
Through August 31, 1994

* = Missing
1 = Yes
2 = No

If COHORT = 1 then:
if INFOSTER = 1
then COHORTFC = 1;
else if R3 = 1 OR 2
then COHORTFC = 1;
else if R3 = 8 or 9
then COHORTFC = missing;
else if R3 = missing and INFOSTER = missing
then COHORTFC = missing;
else COHORTFC = 2

COLENOP
Length of Time Case Open From 3/1/93 - 8/31/94 (in
months)

1 = Closed 3 months or less
2 = Closed 4-6 months
3 = Closed more than 6 months
4 = Still open 8/31/94

If COHORT = 1 then:
if SUBGROUP = 1 or 2 and LENOP = 0 or 1 or 2 or 3
then COLENOP = 1;
else if SUBGROUP = 1 or 2 and LENOP = 4 or 5 or 6
then COLENOP = 2;
else if SUBGROUP = 1 or 2 and LENOP > 6
then COLENOP = 3;
else if SUBGROUP = 3
then COLENOP = 4;
### COMPDATE

**Comparison of Date Open by First Contact**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>*</td>
<td>Missing</td>
</tr>
<tr>
<td>1</td>
<td>I6 = A1</td>
</tr>
<tr>
<td>2</td>
<td>I6 &lt; A1</td>
</tr>
</tbody>
</table>

If I6MO and I6YR and A1MO and A1YR were valid dates
then if I6 = A1, then COMPDATE = 1;
else if I6 < A1, then COMPDATE = 2

### DURGROUP

**Type of Duration Group - Foster Care**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>*</td>
<td>Missing</td>
</tr>
<tr>
<td>1</td>
<td>Short stay in foster care</td>
</tr>
<tr>
<td>2</td>
<td>Long stay in foster care</td>
</tr>
<tr>
<td>3</td>
<td>Moderate stay in foster care</td>
</tr>
</tbody>
</table>

If SSTAYER = 1
then DURGROUP = 1;
else if LSTAYER = 1
then DURGROUP = 2;
else if LSTAYER = 2 and SSTAYER = 2
then DURGROUP = 3

### EMPLOYED

**Was Caretaker or Spouse/Partner Employed During the Reference Period?**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>No</td>
</tr>
<tr>
<td>3</td>
<td>Do not know/not ascertained/missing</td>
</tr>
</tbody>
</table>

If (D4 = 1 or 2 or 3) or ( D8 = 1 or 2 or 3)
then EMPLOYED = 1;
else if (D4 = 4 or 5 or 6 or 7 or 8 or 9) or (D8 = 4 or 5 or 6 or 7 or 8 or 9)
then EMPLOYED = 2;
else EMPLOYED = 3
ESUBMALT  Substantiated Abuse or Neglect - Primary Child

0  =  No substantiated abuse or neglect
1  =  Substantiated abuse or neglect

If J1A1 or J1A2 or J1A3 or J1A4 or J1A5 or J1A6 or J1A7 or J1A8 = 1
then ESUBMALT = 1;
else ESUBMALT = 0

FAMPGM  Family Preservation Services Provided

*  =  Missing
1  =  Yes
2  =  No
9  =  Do not know/not ascertained

If ANDISP = ‘1’ and N2 and MAINSTDY = 1 then:
if P11AA or P11BA or P11CA or P11DA = 1
then FAMPGM = 1;
else if P11AA and P11BA and P11CA and P11DA = 8 or 9 or missing
then FAMPGM = 9;
else FAMPGM = 2

FLGTEENF  Teen Father as Primary Caretaker

*  =  Missing
0  =  No
1  =  Yes

If C1CRELP = 6 or 7 or 8 then:
if AGE does not = missing and AGE < 19
then FLGTEENF = 1;
else FLGTEENF = 0
FLGTEENM  Teen Mother as Primary Caretaker

*  =  Missing
0  =  No
1  =  Yes

If C1CRELP = 3 or 4 or 5 then:
if AGE does not = missing and AGE < 19
then FLGTEENM = 1;
else FLGTEENM = 0

FREE  Parental Rights Terminated

1  =  Yes
2  =  No
9  =  Do not know/not ascertained

If S2 and S5 = 1
then FREE = 1;
else if S2 or S5 = 2
then FREE = 2;
else FREE = 9
HALF_KIN

Was Primary Child in Relative or Non-relative Foster Care During Reference Period

* = Missing
1 = Yes, relative foster care
2 = Non-relative foster care
9 = Do not know/not ascertained

If L6 = missing and R5 = missing and R12 = missing and L4 = missing
then HALF_KIN = missing;
else if (L6 = 2 or 3 or R5 = 2 or 3) or (R12 = 2 or 3 and L4 = missing or 1)
then HALF_KIN = 1;
else if (L6 = missing or 98 or 99) and (R5 = missing or 98 or 99) and (R12 = missing or 98 or 99) and (L4 = missing or 8 or 9)
then HALF_KIN = 9;
else HALF_KIN = 2

Edit 1: If L6 = missing and R5 = missing and
then HALF_KIN = 9

Edit 2: If INFOSTER = 2 and HALF_KIN does not = missing
then HALF_KIN = missing

Edit 3: Any cases in which a foster care episode began and ended prior to 3/1/93 were edited out for analysis.

HANDI

Does Child Have Identified Disability?

* = Missing
0 = No
1 = Yes

If G2AA = 0
then HANDI = 0;
else if G2AB or G2AC or G2AD or G2AE or G2AF > 0 and < 97
then HANDI = 1;
else HANDI = missing
### HESM

**Single Mother in Household of Origin - Primary Caretaker**

- **1** = Yes, in household
- **2** = Not

If `C1CRELP = 3 or 4 or 5 and the mother (including teen mothers) is the only adult in the household` then `HESM = 1`;  
else `HESM = 2`

### HHCHILDW

**Number of Children in Household of Origin - With Cousins**

- **0** = None
- **1-9** = Number of children

If the valid `AGE < 19` then:

- if `(C1C = 1 or 9 or 10 or 11 or 13 or 14 or 15 or 16 or 17 or 18 or 35 or 36 or 38) or (C1CRELP = 9 or 13 or 15)`
- or `(C1CRELP = 23 or 24 and C1C = 25)`
  
then child was counted in household of origin.  
HHCHILDW = total number of children in household of origin.

### HOUSEPRB

**Housing Problem**

- **1** = Yes
- **2** = No
- **9** = Do not know/not ascertained

If `J3A25 or J3A26 or J3A27 = 1`

then `HOUSEPRB = 1`;  
else if `J3A25 or J3A26 or J3A27 = 2`

then `HOUSEPRB = 2`;  
else `HOUSEPRB = 9`
HOUSESER

**Housing Services**

1 = Yes  
2 = No  
9 = Do not know/not ascertained

If K2TA or K2UA or K2VA = 1  
then HOUSESER = 1;  
else if K2TA or K2UA or K2VA = 2  
then HOUSESER = 2;  
else HOUSESER = 9

HOU_SERP

**Housing Service Provided**

1 = Yes  
2 = No  
9 = Do not know/not ascertained

If K2TB or K2UB or K2VB = 1  
then HOU_SERP = 1;  
else if K2TB or K2UB or K2VB = 2  
then HOU_SERP = 2;  
else HOU_SERP = 9

HSDIP

**Primary Caretaker Has High School Diploma**

* = Missing  
0 = No  
1 = Yes

If D3 = 4 or 5 or 6 or 7 or 8 or 9  
then HSDIP = 1;  
else if D3 = 1 or 2 or 3  
then HSDIP = 0;  
else HSDIP = missing
I5NEW  Any Previous Case Openings?

*  =  Missing
1  =  Yes
2  =  No
8  =  Do not know
9  =  Not ascertained

Replaces I5 (main study data only). I5NEW contains both pilot and main study data.

INFOSTER  Child Was in Foster Care During Reference Period

*  =  Missing
1  =  Yes
2  =  No

If L4 = 1 or 2 or 8 or 9 then INFOSTER = 1; else INFOSTER = 2

Edit 1: If L6 = missing and R5 = missing and R12 = missing and L4 = 1 or 2 then INFOSTER = 9

Edit 2: If INFOSTER = 2 and HALF_KIN does not = missing then INFOSTER = missing

Cases that came into care before begin date and left care before begin date were not included in the analysis. Cases where a child entered foster care after the reference period (L2 date > 3/1/94) were also excluded.
LENOP

Length Case was Open Through 8/31/94 (in months)

* = Missing
1 = Length is one month or less
2-242 = Length (in months)

If SUBGROUP = 1 and A2 date (A2MO and A2DAY and A2YR) and A1 date (A1MO and A1DAY and A1YR) are valid dates
then LENOP = A2 date minus A1 date;
else if SUBGROUP = 2 and N2 date (N2AMO and N2ADAY and N3AYR) and A1 date are valid dates
then LENOP = N2 date minus A1 date;
else if SUBGROUP = 3 and A1 date is valid,
then LENOP = 8-31-94 minus A1 date;
else if SUBGROUP = 4 and BOX1B date (BOX1BMO and BOX1BDA and BOX1BYR) and A1 date are valid
then LENOP = BOX1B date minus A1 date + 6 months;
else if SUBGROUP = 5 and N2 date and A1 date are valid dates,
then LENOP = N2 date minus A1 date

LNGTHCAR

Length of Stay in Foster Care Until 8/31/94 (in months)

* = Missing
0 = Length less than one month
1-195 = Length in months

If L2 date (L2MO and L2DAY and L2YR) and L5 date (L5MO and L5DAY and L5YR) were valid
then LNGTHCAR = L5 date minus L2 date;
else if L2YR = missing or 98 or 99 because of pilot
then LNGTHCAR = the sum of valid months in L2MON or L2YR or L2DAY (from pilot study)
For open cases (ANDISP = ‘1’)
LNGTHCAR = 3/1/94 minus L2 date
LNGTHOP

Length Case was Open Until 3/1/94 (in months)

* = Missing
1 = Length is one month or less
2-236 = Length in months

Cases included in the database that were open for less than one month include open and closed cases in which service and investigation opening dates could not be distinguished.

For open cases (ANDISP = ‘1’) then:
if A1 date (A1MO and A1DAY and A1YR) is valid then LNGTHOP = 03/01/94 minus A1 date (in months)
For closed cases (ANDISP = ‘2’) then:
if A1 date does not = missing and A2A date (A2AMO and A2ADAY and A2AYR) does not = missing then LNGTHOP = A2A date minus A1 date in months else LNGTHOP = missing

LSTAYER

Case Open Longer Than 18 Months

* = Missing
1 = Yes
2 = No

If LENOP > 18 then LSTAYER = 1;
else if SUBGROUP = 1 or 2 then LSTAYER = 2;
else if SUBGROUP = missing and MAINSTDY = 0 and ANDISP = ‘1’ and BOX1B date (BOX1BMO and BOX1BDA and BOX1BYR) and A1 date (A1MO and A1DAY and A1YR) are valid dates and BOX1B date minus A1 date > 18 months then LSTAYER = 1;
else LSTAYER = missing
MAINSTDY  Flag if From Main Interview Data

  0  =  Pilot
  1  =  Main study

This flag was derived to identify main study data from the pilot study.
If the ID does not = missing
then MAINSTDY = 1;
else if IDABS does not = missing
then MAINSTDY = 0

MARITALM  Marital Status of Primary Caretaker - Mother

*  =  Missing
1  =  Married
2  =  Widowed
3  =  Divorced
4  =  Separated
5  =  Never married
8  =  Do not know
9  =  Not ascertained

If C1CRELP = 3 or 4 or 5
then MARITALM = C1G

MARITALP  Marital Status of Primary Caretaker

*  =  Missing
1  =  Married
2  =  Widowed
3  =  Divorced
4  =  Separated
5  =  Never married
8  =  Do not know
9  =  Not ascertained

If C1C = 2
then MARITALP = C1G
MHSERPC  Mental Health Services - Primary Caretaker

1  =  Yes
2  =  No
9  =  Do not know/not ascertained

If K2NA or K2OA = 1
then MHSERPC = 1;
else if K2NA or K2OA = 2
then MHSERPC = 2;
else MHSERPC = 9

MHSERV  Mental Health Services - Child

1  =  Yes
2  =  No
9  =  Do not know/not ascertained

If K1DA or K1EA = 1
then MHSER = 1;
else if K1DA or K1EA = 2
then MHSER = 2;
else MHSER = 9

MHSER_PR  Mental Health Services Provided - Primary Caretaker

1  =  Yes
2  =  No
9  =  Do not know/not ascertained

If K2NB or K2OB = 1
then MHSER_PR = 1;
else if K2NB or K2OB = 2
then MHSER_PR = 2;
else MHSER_PR = 9
### PAID_FC

**Paid Relative Placement - Foster Care**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>*</td>
<td>Missing</td>
</tr>
<tr>
<td>1</td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>No</td>
</tr>
<tr>
<td>8</td>
<td>Do not know</td>
</tr>
<tr>
<td>9</td>
<td>Not ascertained</td>
</tr>
</tbody>
</table>

If L6A or R5A or R12A = 1 then PAID_FC = 1;  
else if L6A or R5A or R12A = 2 then PAID_FC = 2;  
else if L6A or R5A or R12A = 8 then PAID_FC = 8;  
else if L6A or R5A or R12A = 9 then PAID_FC = 9

### PARENTS

**Parental Services**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>No</td>
</tr>
<tr>
<td>9</td>
<td>Do not know/not ascertained</td>
</tr>
</tbody>
</table>

If K2AA or K2BA = 1 then PARENTS = 1;  
else if K2AA or K2BA = 2 then PARENTS = 2;  
else PARENTS = 9

### PARENTSP

**Parenting Services Provided**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>No</td>
</tr>
<tr>
<td>9</td>
<td>Do not know/not ascertained</td>
</tr>
</tbody>
</table>

If K2AB or K2BB = 1 then PARENTSP = 1;  
else if K2AB or K2BB = 2 then PARENTSP = 2;  
else PARENTSP = 9
### PROBLEMS

**Number of Child and Family Problems**

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>None</td>
</tr>
<tr>
<td>1-23</td>
<td>Number of problems</td>
</tr>
</tbody>
</table>

- If J2A9 or J2A10 or J2A11 or J2A12 or J2A13 or J2A14 or J2A15 or J2A16 or J2A17 or J2A18 or J2A19 or J2A20 or J2A21 or J2A22 or J2A23 = 1 then each was counted as a child problem.
- If J3A25 or J3A26 or J3A27 or J3A28 or J3A29 or J3A30 or J3A31 or J3A32 or J3A33 or J3A34 or J3A35 or J3A36 or J3A37 or J3A38 or J3A39 or J3A40 or J3A41 or J3A42 or J3A43 = 1 then each was counted as a family problem.

**PROBLEMS** = the total number of child and family problems.

### REASOPEN

**Reason Case Opened - Includes Allegation of Abuse or Neglect or Family Problem**

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>*</td>
<td>Missing</td>
</tr>
<tr>
<td>1</td>
<td>Yes, abuse or neglect</td>
</tr>
<tr>
<td>2</td>
<td>No abuse or neglect, only other family problem</td>
</tr>
</tbody>
</table>

- If I2A or I2B or I2C or I2D or I2E or I2F or I2G or I2H or I2I > 1 and < 9 then REASOPEN = 1;
- else if I2J or I2K or I2L or I2M or I2N or I2O or I2P ≥ 10 and < 98 then REASOPEN = 2;
- else REASOPEN = missing
RENEPIR  
Length of Time from Case Opening to Foster Care (in months)

* = Missing
0 = Length less than one month
1-220 = Length in months

If A1 date (A1MO and A1DAY and A1YR) and L2 date (L2MO and L2DAY and L2YR) were valid dates then RENEPIR = L2 date minus A1 date in months
Edit 1: If A1 date = missing, then A1 date = I1 date (I1MO and I1DAY and I1YR).
Edit 2: Negative values were set to missing.

SCHSERV  
School Service - Child

1 = Yes
2 = No
9 = Do not know/not ascertained

If K1JA or K1MA = 1 then SCHERV = 1;
else if K1JA or K1MA = 2 then SCHERV = 2;
else SCHERV = 9

SEXP  
Sex of Primary Caretaker

* = Missing
1 = Male
2 = Female
9 = Not ascertained

If C1C = 2 then SEXP = C1F
### SEXPCHILD

**Sex of Primary Child**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>*</td>
<td>Missing</td>
</tr>
<tr>
<td>1</td>
<td>Male</td>
</tr>
<tr>
<td>2</td>
<td>Female</td>
</tr>
<tr>
<td>9</td>
<td>Not ascertained</td>
</tr>
</tbody>
</table>

If C1C = 1  
then SEXPCHILD = C1F

### SFATHFL

**Step-fathers In Household of Origin Including Primary Caretakers**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>*</td>
<td>Missing</td>
</tr>
<tr>
<td>0</td>
<td>None</td>
</tr>
<tr>
<td>1</td>
<td>Yes, one step-father in household</td>
</tr>
<tr>
<td>2</td>
<td>Yes, two step-fathers in household</td>
</tr>
</tbody>
</table>

Cumulative count of the number of step-fathers in household using C1C and C1CRELP, where C1C and/or C1CRELP = 7

### SRVCNTK1

**Number of Services Provided to Primary Child**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>None</td>
</tr>
<tr>
<td>1-15</td>
<td>Number of services provided</td>
</tr>
</tbody>
</table>

If K1AB or K1BB or K1CB or K1DB or K1EB or K1FB or K1GB or K1HB or K1IB or K1JB or K1KB or K1LB or K1MB or K1NB or K1OB or K1PB or K1QB or K1RB or K1SB or K1TB or K1VB = 1  
than it was counted as a service provided to the primary child  
SRVCNTK1 = total number of services provided to the primary child
SRVCNTK2  Number of Services Provided to Primary Caretaker

0 = None  
1-17 = Number of services provided

If K2AB or K2BB or K2CB or K2DB or K2EB or K2FB or K2GB or K2HB or K2IB or K2JB or K2KB or K2LB or K2MB or K2NB or K2OB or K2PB or K2RB or K2SB or K2TB or K2UB or K2VB or K2WB or K2XB = 1
then it was counted as a service provided to a primary caretaker
SRVCNTK2 = total number of services provided to a primary caretaker

SRVCNTK4  Number of Services Provided by Caseworker to a Child and Family

0 = None  
1-18 = Number of services provided

If K4A or K4B or K4C or K4D or K4E or K4F or K4G or K4H or K4I or K4J or K4K or K4L ≥ 1 and ≤ 19
then it was counted as a service provided to child and family
SRVCNTK4 = total number of services provided by caseworker to a child and family
### SSTAYER

Case Closed Within Three Months

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>*</td>
<td>Missing</td>
</tr>
<tr>
<td>1</td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>No</td>
</tr>
</tbody>
</table>

- If `SUBGROUP = 1` or `2` and `LENOP ≤ 3` and does not = missing
  - then `SSTAYER = 1`;
- else if `LENOP > 3`
  - then `SSTAYER = 2`;
- else if `SUBGROUP = 3` and `LENOP > 3`
  - then `SSTAYER = 2`;
- else if `(SUBGROUP = missing` and `MAINSTDY = 0` and `ANDISP = ‘1’`) and `BOX1B` date (`BOX1BMO and BOX1BDA and BOX1BRYR`) and `N1` date (`N1MO and N1DAY and N1YR`) are valid) and (`BOX1B` date minus `A1` date (`A1MO and A1DAY and A1YR`) > 3)
  - then `SSTAYER = 2`;
- else `SSTAYER = missing`;

### SUBABP_A

Substance Abuse Problem - Primary Caretaker

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>No</td>
</tr>
<tr>
<td>9</td>
<td>Do not know/not ascertained</td>
</tr>
</tbody>
</table>

- If `J3A28 = 1` or `J3A29 = 1`
  - then `SUBABP_A = 1`;
- else if `J3A28 = 2` or `J3A29 = 2`
  - then `SUBABP_A = 2`;
- else `SUBABP_A = 9`;

### SUBABSER

Substance Abuse Service - Child

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>No</td>
</tr>
<tr>
<td>9</td>
<td>Do not know/not ascertained</td>
</tr>
</tbody>
</table>

- If `K1GA` or `K1HA` = 1
  - then `SUBASER = 1`;
- else if `K1GA` or `K1HA` = 2
  - then `SUBASER = 2`;
- else `SUBASER = 9`;

### SUBASRP

Substance Abuse Service - Primary Caretaker

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>No</td>
</tr>
</tbody>
</table>
9 = Do not know/not ascertained

If K2QA or K2RA = 1
then SUBASRP = 1;
else if K2QA or K2RA = 2
then SUBASRP = 2;
else SUBASRP = 9

SUBABUSE

Substance Abuse Problem - Child

* = Missing
1 = Only drug abuse
2 = Only alcohol abuse
3 = Both drug and alcohol abuse

If J2A11 = 1 and J2A12 = 2 or 8 or 9
then SUBABUSE = 1;
else if J2A11 = 2 or 8 or 9 and J2A12 = 1
then SUBABUSE = 2;
else if J2A11 and J2A12 = 1
then SUBABUSE = 3
<table>
<thead>
<tr>
<th>SUBGROUP</th>
<th>Case Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Closed case</td>
</tr>
<tr>
<td>2</td>
<td>Case closed by 8/31/94</td>
</tr>
<tr>
<td>3</td>
<td>Case open for the child on 8/31/94</td>
</tr>
<tr>
<td>4</td>
<td>Case open 10/1/92 and still open six months later (pilot only)</td>
</tr>
<tr>
<td>5</td>
<td>Case open at end of reference period but closed within six months (pilot only)</td>
</tr>
</tbody>
</table>

If ANDISP = ’2’
then SUBGROUP = 1;
else if N2 = 2 and N3 = 1 or 3
then SUBGROUP = 2;
else if N2 = 1 and A1 date (A1MO and A1DAY and A1YR) was valid
then SUBGROUP = 3;
else if N2 = 3
then SUBGROUP = 4;
else if N2 = 4
then SUBGROUP = 5

<table>
<thead>
<tr>
<th>URBAN</th>
<th>Urbanicity</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Large Metropolitan Stastical Area (MSA) with at least one city of 200,000 or more in total population</td>
</tr>
<tr>
<td>2</td>
<td>Remaining MSA counties and other counties each with 25,000 or more in total population.</td>
</tr>
<tr>
<td>3</td>
<td>Non-MSA counties with a population of at least 5,000 under the age of 22</td>
</tr>
<tr>
<td>4</td>
<td>Non-MSA counties with at least 1,000 in total population and a population of 5,000 under the age of 22</td>
</tr>
</tbody>
</table>

County population was identified and counties were categorized into Urbanicity 1, 2, 3, or 4. For analysis purposes, categories 3 and 4 were combined into one category (3).
**Data Weights**

TRIMWT = Family weight
TRIMWT1 - TRIMWT24 = Family level replicate weights
TPRWT = Child level weights
TPRWT1 - TPRWT24 = Child level replicate weights

**IDs**

IDABS = Identifier for pilot study data - 10 digits
ID = Identifier for main interview - 9 digits